

**HEMVATI NANDAN BAHUGUNA GARHWAL UNIVERSITY**  
**(A CENTRAL UNIVERSITY)**  
**SRINAGAR (GARHWAL), UTTARAKHAND**



**MINUTES**  
**OF THE 21<sup>st</sup> MEETING OF**  
**THE FINANCE COMMITTEE OF THE UNIVERSITY**  
**Held on 30<sup>th</sup> June 2023**  
**Time: 15:30 Hours Onwards**

# **HEMVATI NANDAN BAHUGUNA GARHWAL UNIVERSITY**

## **Minutes of the 21<sup>st</sup> Meeting of the Finance Committee**

**Dated: June 30, 2023 at 15:30 hours onwards**

The 21<sup>st</sup> Meeting of the Finance Committee of the University held on 30.06.2023 through online mode.

Following members were present-

1. Prof. Annpurna Nautiyal, Vice Chancellor – Chairperson
2. Prof. R.C. Bhatt, Pro-Vice Chancellor, HNBGU
3. Prof. Dinesh Kumar Nauriyal, Former VC, Kumaon University, Dept. of Humanities and Social Sciences, IIT, Roorkee, (EC Nominee)
4. Shri Mohammed Rizwan, Director (Finance), IFD, MoE, New Delhi (Representative of JS&FA, IFD, MoE- Visitor's Nominee)
5. Shri Praveer Saxena, Under Secretary, MoE, New Delhi (Representative of JS, MoE - Visitor's Nominee)
6. Dr. Anju Mohan Galhotra, Under Secretary, UGC, New Delhi (Representative of Joint Secretary of CU- Visitor's Nominee)
7. Prof. S. K. Gupta, Dean School of Management, HNBGU, (EC Nominee)
8. Mr. S. K. Srivastava, Finance Officer - Secretary.

Dr. Dheeraj Sharma, Registrar, HNBGU also attended the meeting as a Special Invitee. Prof. Raj Kumar, Former Vice-Chancellor, Punjab University, Chandigarh (EC Nominee) could not attend the meeting.

At the outset, the Chairperson welcomed all the distinguished members of the Finance Committee and expressed special gratitude to the members for attending and participating in the meeting. She also mentioned that University is looking forward for valuable suggestions and support of the committee members in various affairs of the University, particularly in financial matters for smooth functioning of the University.

Vice-chancellor and chairman Finance Committee also introduced and welcomed the newly joined Finance Officer Mr. S. K. Srivastava and Registrar Dr. Dheeraj Sharma.

Thereafter, the items were taken up with thorough discussions and deliberations. Comments on agenda received from MoE and IFD (MoE) were well taken into consideration, while discussion on the agenda items.

**Agenda Item No. 21-1: Confirmation of the Minutes of 20<sup>th</sup> Meeting of the Finance Committee:**

Draft Minutes of the 20<sup>th</sup> Meeting of Finance Committee held on **06.08.2022** were circulated to all the members through email inviting suggestions/comments on recording of the minutes. Taking into consideration the suggestions/comments of the members, the final minutes had been circulated vide letter dated 26.08.2022 through email, followed by speed post/courier.

Copy of the minutes is placed at [Annexure-1](#) for confirmation.

**Resolution:**

The minutes are confirmed subject to adherence and compliance of the relevant statutory provisions of the University and also strict compliance of the related document of Govt. of India instructions and guidelines including those issued by the CVC.

**Agenda Item No. 21-2: Action taken report on the proceedings of twentieth meeting of Finance Committee held on August 06, 2022:**

<b>Agenda</b>	<b>Resolution</b>	<b>Action Taken</b>
<b><u>Agenda Item No. 20-1:</u></b> Confirmation of the Minutes of Finance Committee held on 18 <sup>th</sup> and 19 <sup>th</sup> (Emergent) Meeting of the Finance Committee.	The minutes were confirmed subject to strict compliance of the relevant Statutory provisions of the University and also strict compliance of the related Govt. of India instructions and guidelines including those issued by the Central Vigilance Commission.	Noted for compliance and further needful.
<b><u>Agenda Item No. 20-2:</u></b> To consider and approve the "Action Taken Reports" on the decisions taken by the Finance Committee. (18 <sup>th</sup> and 19 <sup>th</sup> (Emergent) Meeting of the Finance Committee held on 05.01.2022 and 13 <sup>th</sup> April 2022.	The "Action Taken Reports" was reported and recorded subject to strict compliance of the relevant Statutory provisions of the University and also strict compliance of the related Govt. of India instructions and guidelines including those issued by the Central Vigilance Commission.	Noted for compliance and further needful.
<b><u>Agenda Item No.20-3:</u></b> A power point presentation to appraise the august body regarding	The power-point presentation was made. The Committee while considering the progress of the completion of various building projects of the University recommended that after completion	Noted for compliance and further needful.

<p>various developmental activities of the University along with a progress of expenditure under various budget heads</p>	<p>of the projects and before possession of the building a joint inspection of construction agency and University officials may be held. Further building committee members and building audit teams may also inspect the buildings. After completion of observation (if any) as observed by the inspection teams, the buildings may be taken into possession by the University from the construction agency.</p>	
<p><b><u>Agenda Item No. 20-4:</u></b> To consider and approve the Budget Estimates 2022-23 (Salary, Recurring and Capital Assets) of the University.</p>	<p>The committee approved the Budget Estimates 2022-23 (Salary, Recurring and Capital Assets) of the University subject to the condition that the estimates are based on a realistic assessment of requirement of funds for the University and also subject to availability of funds from Govt. of India under Salary-36, Recurring -31 and Capital Assets-35 for the financial year. Further the University should ensure that expenditure is restricted within the sanctioned budget grant and no liabilities should be allowed to accumulate. Further it was advised that every year fee should be revised to the extent of 10% considering the increase of expenditures and reduces of internal receipt.</p>	<p>Expenditure has been incurred as per availability of grants and internal revenue.</p> <p>Action for 10% increase of fee is under process.</p>
<p><b><u>Agenda Item No. 20-5:</u></b> To consider the MoE letter dated 07.04.2022 regarding proposal for loan to HNBGU from Higher Education Funding Agency (HEFA).</p>	<p>The committee resolved that the process for obtaining HEFA loan for the 03 approved projects to be expedited. Further the University should take up additional proposals of HEFA loan for residence of faculty and non-faculty with MoE.</p>	<p>University has received concurrence letter of HEFA loan from MoE amounting to Rs 44.60 crores. University has already opened escrow 3 and 4 account with Canara Bank. Other formalities are in progress with HEFA, Bangalore.</p>
<p><b><u>Agenda Item No. 20-6:</u></b> To consider the GPF rate of interest to the</p>	<p>The committee was appraised that the University has been paying GPF Interest to the subscribers based on the interest earned by the University</p>	<p>Action taken as per resolution of finance committee.</p>

subscribers of the University for the financial year 2021-22.	by investing GPF fund and not paying higher interest than the interest earned. The committee took note of it and recommended that if the interest earned by the University is less than the rate announced by Ministry of Finance the subscribers shall be paid interest at a lesser rate as per the interest earned by the University.	
<b><u>Agenda Item No.20-7:</u></b> To consider to start Gratuity Insurance and Corpus for Gratuity Payment of the contractual employees of the University.	The committee was of the opinion that the payment of Gratuity to the contractual employees of the University has wider implications. For regularization of the above contractual/daily wage employees as on time measure the University should constitute a committee with external members to go through all the pros and cons on engagement, continuation vis a vis provision of regularization as per various supreme court judgements. The committee may be given a mandate to come out with comprehensive solution as one time measure considering the facts that many of the employees have already working uninterruptedly for more than 20 years. Recommendations of the committee to be submitted to UGC.	Matter is under process.
<b><u>Agenda Item No. 20-8:</u></b> To consider the payment of Gratuity to Prof. S. P. Kala, Ex-Professor, Dept of MBA (Self-finance) from the self-finance fund of the Department.	The committee was informed that the payment of Gratuity under Gratuity Act 1972 is under active consideration of MoE. The University may hold the matter till issuance of notification by MoE in this regard.	As per instruction of MoE/UGC, a separate agenda item is appended.
<b><u>Agenda Item No. 20-9:</u></b> To consider to contribute employer's share as per the Employees' Provident Fund and Miscellaneous Provisions Act 1952.	The committee took note of it.	University is deducting EPF and making its contribution as per EPFO norms.
<b><u>Agenda Item No.</u></b>	The committee resolved that the	Matter is under

<p><b>20-10:</b> Request for advice on Negative Corpus of the Annual Accounts of the University in view of making provisions for superannuation/ Pension.</p>	<p>University should approach to UGC for the commitment letter towards payment of pension, Gratuity and other pensionary benefits to the employees of the University appointed against sanctioned posts.</p>	<p>process.</p>
<p><b>Agenda Item No. 20-11:</b> To consider and approve the unaudited Annual Accounts of the University for the financial year 2021-22.</p>	<p>The committee approved the unaudited Annual Accounts of the University for the financial year 2021-22 subject to the condition that preparation and presentation of Annual Accounts for the year 2021-22 are strictly as per the revised formats of Accounts for CEIs and instructions/guidelines which were issued vide MHRD's letter No. 2904/2012-IFD dated 17.4.2015. Further, University should ensure that the interest earned on the Government grant be deposited back to Government account. University should also strictly adhere to the timelines for submission of annual accounts as prescribed by the Ministry of Finance and Ministry of Education.</p>	<p>Annual accounts were duly audited by audit team of Director General of Audit (Central), Lucknow at Allahabad and Separate Audit Report was issued wide dated 31.10.2022. The printed annual accounts along with audit report forwarded to MoE on dated 05-01-2023 for further laying before each house of Parliament.</p>
<p><b>Agenda Item No. 20-12:</b> Status of Audit Paras/Observations raised by the C&amp;AG.</p>	<p>The Committee recommended that pending audit paras to be settled at the earliest as per GoI rules, in consultations with the office of DGACE.</p>	<p>Some of audit paras has been settled and dropped by the audit. The latest status of pending audit paras is appended in this agenda as reporting item No. 21-3.4</p>

Placed before Finance Committee for information and ratification.

**Resolution:**

Committee noted the action taken report subject to adherence and compliance of the relevant statutory provisions of the University and also strict compliance of the related document of Govt of India instructions and guidelines including those issued by the CVC.

**Agenda Item No. 21-3: Reporting item:**

**Agenda Item No. 21-3.1: Expenditure incurred during the period April 01, 2023 to May 31, 2023:**

As directed by the UGC vide its letter dated 29.05.2012, the expenses incurred during the period 01.04.2023 to 31.05.2023 and progress of expenditure under Salary, Recurring and Non-Recurring is prepared and enclosed as [Annexure-2](#).

Placed before Finance Committee for information.

**Resolution:**

The Finance Committee noted the contents.

**Agenda Item No. 21-3.2: Progress of Construction of the building projects:**

As directed by the UGC vide its letter dated 29.05.2012, the status of ongoing building projects in prescribed format is to be reported to Finance Committee in its each meeting. Presently there is no building projects is under construction/ongoing.

However, a power point presentation will be made for showing the status of building and amenities in campuses of HNBGU.

Placed before Finance Committee, for information.

(A brief PPT presentation on the various developmental activities of the University was made by the Finance Officer.)

**Resolution:**

The Finance Committee noted the contents.

**Agenda Item No. 21-3.3: To report the pending Parliamentary assurance in the University:**

As directed by Ministry of Education, the status of pending Parliamentary assurance at the University level is to be reported before finance committee.

As per information, the status of pending parliamentary assurance at university level is nil. It is pertinent to mention that whenever any communication received from the ministry/UGC on the subject, immediate action is taken by the University for furnishing the reply and its compliances, wherever required.

Placed before Finance Committee, for information.

**Resolution:**

The Finance Committee noted the contents.

**Agenda Item No. 21-3.4: To report the status of inspection audit paras pending up to financial year 2021-22:**

CAG has completed the inspection audit of the university up to Financial Year 2021-22 and the details of pending paras are annexed as per [Annexure-3](#)

Placed before Finance Committee, for information.

The Finance Officer apprised the committee that all inspection audit paras up to FY 2020-21 were duly replied to the audit. However, all pending paras will be reviewed afresh and reply will be furnished in the due course.

**Resolution:**

The Finance Committee noted the contents.

**Agenda Item No. 21-3.5: To report the status of filling up the backlog vacancies:**

The details of backlog vacancies of SCs, STs and OBCs are as follows:

Teaching Position	Sanctioned	Filled	Status of SC Backlog		Status of ST Backlog		Status of OBC Backlog		Total Backlogs (SC,ST,OBC)	
			Identified backlog	Filled Backlog	Identified backlog	Filled Backlog	Identified backlog	Filled Backlog	Identified backlog	Filled Backlog
Professor	44	11	6	0	3	0	11	0	20	0
Associate Professor	90	26	13	3	6	1	24	2	43	6
Assistant Professor	350	240	72	29	26	15	94	37	192	81
Total	484	277	91	32	35	16	129	39	255	87

Teaching Position	Status of PWBD Backlog	
	Identified backlog	Filled Backlog
Professor	1	0
Associate Professor	2	0
Assistant Professor	4	0
Total	7	0

Note: All vacant teaching positions were advertised vide recruitment notification No. HNBGU/Recruitment (T)/2023/1280 dated 25.01.2023.

Placed before Finance Committee, for information.

**Resolution:**

The Finance Committee noted the contents.

**Agenda Item No. 21-3.6: To report the GPF rate of interest credited to the accounts of GPF subscribers of the University, for the financial year 2022-23:**

Rate of interest on GPF notified by the Government of India for the FY 2022-23 is 7.1%. During the preceding year, University could able to earn Rs. 7,49,68,345/- as interest from the various deposits and investment made from GPF fund. Accordingly University has notified GPF interest at the rate 7.1 % for F.Y 2022-23 for the GPF beneficiary of the University and a sum of Rs 4,81,92,222/- credited to the GPF account of the individual beneficiaries. [Annexure-4](#)

Placed before Finance Committee, for information and ratification.

**Resolution:**

The Finance Committee noted the contents and ratified the same.

**Agenda Item No. 21-3.7: To report the Sanctioned (memorandum) received from the Higher Education Funding Agency (HEFA):**

The Higher Education Funding Agency (HEFA) has approved Rs. 44.60 Crores vide letter No. SAN/HNBGU/518/2022-23 Dated 30.12.2022 for construction of the building projects as mentioned below. Applicable rate of interest has been mentioned 7% per annum which is subject to revision time to time as per directions of the HEFA board. The loan is to be repaid in twenty half yearly instalment of Rs. 2.23 crores each. The payment will be commenced after six months from the date of first disbursement and subsequent instalments to be repaid every six months, thereafter.

It is further mentioned in the sanctioned letter that the University falls under Window 3 of RISE by 2022 scheme, wherein university have to repay 10% of the principle portion from its internal resources. Balance 90% of the principle portion along with entire interest (100%) shall be serviced through the grants released by MoE. [Annexure-5](#)

As per terms of the sanctioned letter, the fee account maintained at HDFC Bank, Srinagar (Garhwal) bearing No. 50100318662205 is Escrow account No. 1 and another account opened at HDFC, Srinagar (Garhwal) branch bearing No. 50100641674164 is Escrow account No. 2. Further, as per terms of HEFA sanctioned letter, two more accounts bearing No. 120002675210 (Escrow account No. 3 for principle repayment) and 120002664523 (Escrow account No. 4 for interest repayment) has been opened at Canara Bank, Srinagar (Garhwal).

The following is the details of project sanctioned:

(Rupees in Cores)

<b>Proposal submitted to MoE after approval of FC</b>	<b>Proposal Approved by HEEFA</b>
1. P.G Science Block at SRT campus Tehri	11.02
2. Examination, Laboratories and Faculty Block at Srinagar.	19.31
3. Boys Hostel, Chauras	14.27
<b>Total</b>	<b>44.60</b>

Placed before Finance Committee, for information and ratification.

**Resolution:**

The Finance Committee noted the contents and ratified the same.

**Agenda Item No. 21-3.8: To consider and ratify the Separate Audit Report for the FY 2021-22 received from the office of Director General of Audit (Central), Lucknow:**

The Annual Accounts of the University for the Financial Year 2021-22 audited by the office of Director General of Audit (Central), Lucknow and Separate Audit Report on the accounts of University has been received vide letter No. DIS-446697 dated 31.10.2022. Last FC meeting was held on August 06, 2022 and thereafter the present meeting is convened. As the printed annual accounts along with Separate Audit Report is to be sent to MoE for further laying the same before both houses of Parliament, in a time bound manner as such, the audit report was approved by the Vice Chancellor and chairmen of the Finance Committee and printed copy of Audited Annual Accounts for Financial Year 2021-22 was forwarded to MoE which was duly acknowledged by MoE on dated 05.01.2023.

**[Annexure-6](#)**

Placed before Finance Committee, for information and ratification.

**Resolution:**

The Finance Committee noted the contents and ratified the same.

**Agenda Item No. 21-3.9: To consider and ratify the Budget Estimate 2023-24 under Recurring head, Salary head and Capital Assets head for Financial Year 2023-24.**

UGC vide their letter No. F.1-6/2023(CU) dated 31.03.2023 asked the University to furnish the details on Budget Estimate 2023-24 under Recurring head, Salary head and Capital Assets head for Financial Year 2023-24 as per the format prescribed by the UGC. Accordingly University has furnished the information as per [Annexure No. 7](#)

Placed before Finance Committee, for information and ratification.

**Resolution:**

The Finance Committee noted the contents and ratified the same.

**Agenda Item No. 21-3.10: To report Annual Allocation of Budget Estimate for the F.Y. 2023-24 under Salary, Recurring and Capital head:**

**A. Allocation under Salary head**

The UGC has conveyed vide letter No. F.No.52-1/2023 (CU) dated 10 May 2023 its approval for Budget Estimate of the University Under Budget head Salary -36 for the Financial year 2023-24. [Annexure-8](#)

The details are as per following:

**(Rs. In Lakhs)**

<b>S. No.</b>	<b>Head</b>	<b>B.E. Proposed by University</b>	<b>B.E. Allocation approved by UGC</b>
1	Faculty Salary Expenditure for the year 2023-24	8392.08	7000.00
2	Non-Faculty Salary Expenditure for the year 2023-24	4246.91	3503.26
3	Other Components for the Items namely Leave Encashment, LTC, Children Education Allowance, Retirement Benefit and Medical Reimbursement	2185.00	1994.95
<b>4</b>	<b>Total Budget Estimates for the year 2023-24</b>	<b>14823.99</b>	<b>12498.21</b>
5	Less Opening Balance as on 01-04-2023	0.00	0.00
6	UGC Share recommended B.E. 2023-24 (4+5)	<b>14823.99</b>	<b>12498.21</b>

## B. Allocation under Recurring head:

The UGC has conveyed vide letter No. F.No.52-2/2023(CU) dated 10 May 2023, for Budget Estimate of the University Under Budget head Recurring -31 for the Financial year 2023-24, as per following: [Annexure-9](#)

S.No.	Head	B.E. Proposed by University	Budget Estimate
1	Pension for the year 2023-24 including Pensionary Benefits namely CPF and NPS	3508.00	3800.00
2	Non-Salary Items for the year 2023-24	4304.00	0.00
3	Non-Net Fellowship for the year 2023-24	600.00	350.00
<b>4</b>	<b>Total Budget Estimates for the year 2023-24</b>	<b>8412.00</b>	<b>4150.00</b>
5	Less Opening Balance as on 01-04-2023	0.00	-124.00
6	UGC Share recommended B.E. 2023-24 (4+5)	<b>8412.00</b>	<b>4026.00</b>

## C. Allocation under Capital head (35) :

The UGC vide letter No. F.No.52-3/2023(CU) dated 01 June 2023, has conveyed its approval Under Budget head Capital Assets -35 for the Financial year 2023-24, as per following details: [Annexure-10](#)

(Rs. In Lakhs)

S.No.	Name of the Item	Annual Allocation for the year 2023-24 approved by UGC
1	Books/Journals	100.00
2	ICT enabled infrastructure for online learning and content development and procurement of e-resource (perpetual)	175.00
3	Small Equipment/Laboratories	125.00
4	Campus Development	100.00
5	Other Infrastructure including furniture & fixture	100.00
	<b>Total</b>	<b>600.00</b>

## Resolution:

The Finance Committee noted the content.

**Agenda Item No. 21-3.11: To report the grant received during the F.Y. 2023-24 under Salary, Recurring and Capital head:**

**A. Grant released under Head Salary (36):**

**(Rs. In Lakhs)**

Item/head of the Accounts	BE Approved by the UGC	Grant Received		Total Grant released against April and May, 2023
		As per Letter Dt 25-04-2023	As per Letter Dt 26-05-2023	
CU Gen Component 1 (A) 36	12498.21	925.50	925.50	1851.00
CU SC Component 1 (A) 36		88.93	88.93	177.86
CU ST Component 1 (A) 36		45.10	45.10	90.20
<b>Total</b>	<b>12498.21</b>	<b>1059.53</b>	<b>1059.53</b>	<b>2119.06</b>

**B. Grant released under Head – Recurring (31)**

**(Rs. In Lakhs)**

Item/Head of Accounts	B.E. Approved by UGC 2023-24	Grant Received		Total Grant released against April and May, 2023
		As per Letter Dt 26-04-2023	As per Letter Dt 26-05-2023	
Pension and Pensionary Benefits including 7 <sup>th</sup> CPC Arrear for Pension	3100.00	348.71	348.71	697.42
Non-Salary	700.00			
Non-Net Fellowship for Ph.D holder	350.00	31.10	31.10	62.20
Less opening balance	-124.00			
<b>Total</b>	<b>4026.00</b>	<b>379.81</b>	<b>379.81</b>	<b>759.62</b>

**C. Grant released under Capital Assets (Budget head-35)**

No grant has been received under Capital Assets head upto 31.05.2023.

**Resolution:**

The Finance Committee noted the contents.

**Agenda Item No. 21-4: Items for consideration:**

**Agenda Item No. 21-4.1: To consider the Unaudited Annual Accounts for the Financial Year 2022-23:**

Books of Accounts for the Financial Year 2022-23 is under finalisation stage. University is maintaining separate accounts one for University accounts and another for GPF transactions. The annual accounts are prepared as per the format provided by the then MHRD (now MoE) vide its letter No. 29- 4/2012-IFD dated 17th April 2015. (The Annual Accounts will be sent separately) **Annexure No-11**

Placed before Finance Committee for consideration.

(The Finance Officer apprised the committee that consolidation of the Annual Accounts of the University is at final reconciliation and verification stage.)

**Resolution:**

Finance Committee advised the University to complete the work of Annual Accounts for FY 2022-23 at the earliest and be send to the members of Finance Committee through circulation for consideration and recommended the same to Executive Council for approval.

**Agenda Item No. 21-4.2: To consider and approve the Budget Estimates 2023-24 (Salary, Recurring and Capital Assets) of the University:**

As per the direction of UGC, the University has submitted the B.E. for FY 2023-24 under Salary, Recurring and Capital Assets heads to the UGC in the prescribed format with the approval of the Chairperson of the FC/EC.

**B.E. 2023-24 (Salary, Recurring & Capital Assets) (Rs. In Lakhs)**

**(Rs. In Lakhs)**

<b>Major Budget Heads</b>	<b>B.E. 2023-24</b>
<b>Salary/Arrear</b> including other related components	14823.97
<b>Recurring</b> including Merged Schemes, Pension/pension arrear, Non-NET Fellowship, Non Salary and others	8412.00
<b>Capital Assets</b> (includes Books & Journals, Equipments/Laboratory, Campus Development, Furniture & Fixture, Construction of Roads, Providing electricity/ water/ sewerage lines/ plantation, Compound wall, Major repair/renovation /up-gradation, other infrastructure)	2700.00
<b>Internal Receipt (Projected)</b>	2500.00

The detailed requirement of fund has already been sent to UGC vide University letter No. HNBGU/FO/2023/05 dated 10.04.2023 as per [Annexure-7](#)

Placed before Finance Committee for consideration.

**Resolution:**

Finance Committee considered the budget of the University for the FY 2023-24 and recommended the same to Executive Council for approval.

**Agenda Item No. 21-4.3: To consider the payment of leave encashment to the teachers of the University:**

Till date, University has not extended the leave encashment facilities to the teachers of the University. As per UGC regulations 2018 (Gazette Notification of India Notification No 271 dated 18 July 2018) clause III sub clause 3 **“encashment of earned leave shall be allowed to the members of teaching staff as applicable to the employees of Central Government or State Government”**. Under clause IV of the said regulation it is also mentioned that **“half pay leave shall be combined with earned leave or calculating the number of earned leaves in case the number of earned leaves are less than 300 for purpose of encashment of leave at the time of superannuation”**. Encashment of leave was also allowed to the teachers in the UGC regulations 2010 under clause No. 8.4.3 (3). The matter for granting encashment leaves to teachers was (non vacation members) also referred to UGC for clarification. UGC vide its letter No. 52-9/2022(CU) Vol.1 dated 23-02-2023 clarified that teachers of central universities on their superannuation or on death during the service period are entitled for encashment of leaves. However, from which date the encashment facility is to be extended has not been mentioned.

**Annexure No. [12-A](#), [12-B](#) & [12-C](#)**

HNB Garhwal University was converted into Central University as per Central University Act 2009 (No. 25 of 2009 dated March 20, 2009), still the University is finding it difficult to decide about date of applicability for extension of leave encashment to its teachers.

Hence the matter is placed before Finance Committee for consideration of extension of encashment of leaves to the University teachers and to decide that from which date, this facility is to be extended.

**Resolution:**

Finance Committee resolved to consider the leave encashment facility to the teachers of the University from the date of notification of UGC Regulation i.e. from July 18, 2018 and recommended the same to Executive Council for approval.

**Agenda Item No. 21-4.4: To consider the extension of Gratuity Facilities to the employees covered under NPS (National Pension System):**

As per Office Memorandum F. No. 15-2/2022 TC Dated 16-12-2022 issued by Department of Higher Education, Ministry of Education, Govt of India and University Grants Commission, Govt. of India Circular 3-1/2018 (admin/A&B) Dated 10-01-2023 and in compliance with the Gratuity Act 1972, the employee covered under NPS (National Pension System), gratuity is to be paid as per the provision of Gratuity Act 1972. [Annexure-13](#)

Placed before Finance Committee for consideration.

**Resolution:**

Finance Committee considered the extension of gratuity facility to the employees covered under NPS as per provisions of Gratuity Act-1972 (as amended from time to time) and recommended the same to the Executive Council for approval.

**Agenda Item No. 21-4.5: To consider the delegation of financial powers Campus Directors (SRT, Tehri & BGR, Pauri):**

Presently, HNB Garhwal University is functioning from Srinagar (Garhwal) and having its four different campuses at Birla Campus-Srinagar, Chauras Campus-Chauras, SRT Campus-Tehri and BGR Campus-Pauri. Distance of SRT Campus, Tehri is about 80 KM on hilly terrain and BGR Campus, Pauri is about 35 KM on hilly terrain from Srinagar Campus. Directors of both campuses are local administrative head for all campus related matters. Both Directors has requested to delegate them financial power to Rs. 50,000/- to cater the routine nature of expenditure, students activities related expenditure matters and to incur certain expenditures required during emergent situation.

Earlier, financial power of Rs. 10,000/- was delegated to both Directors for incurring the expenditure related to respective campuses. Keeping in view, the various emergent needs and to ease the functioning of academic/administrative aspects, it is proposed to delegate the financial power of Rs. 50,000/- to the Directors of Tehri and Pauri campuses.

Placed before finance committee, for consideration.

**Resolution:**

Finance Committee considered the extension of delegation of financial powers to Campus Directors (SRT, Tehri & BGR, Pauri) and recommended the same to the Executive Council for approval.

**Agenda Item No. 21-5: Table item:**

**(With the permission of the chair)**

There was no table item placed before the Finance Committee. However, Vice-chancellor and Chairperson of Finance Committee advised to prepare the agenda well in time so that the same can be sent to the members of the committee well in time.

The meeting of the committee ended with the vote of thanks to the Chair.

**Vice Chancellor  
Chairperson**

**Finance Officer  
Secretary**

**HEMVATI NANDAN BAHUGUNA GARHWAL UNIVERSITY**

**Minutes of the 20<sup>th</sup> Meeting of the Finance Committee**

**Dated : 06 August 2022**

The 20<sup>th</sup> Meeting of the Finance Committee of the University was held on 06.01.2022 through blended mode(both offline and online ).

Following members were present-

9. Prof. Annpurna Nautiyal, Vice Chancellor – Chairperson
10. Prof. R.C.Bhatt, Pro-Vice Chancellor, HNBGU
11. Prof. Dinesh Kumar Nauriyal, Former VC, Kumaon University, Dept. of Humanities and Social Sciences, IIT, Roorkee, (EC Nominee)
12. Shri Mohammed Rizwan, Director (Finance), IFD, MHRD, New Delhi (Representative of JS&FA, IFD, MHRD- Visitor's Nominee)
13. Shri Praveer Saxena, Under Secretary, MoE, New Delhi (Representative of JS, MoE- Visitor's Nominee)
14. Prof. S.K.Gupta, Dean School of Management, HNBGU, (EC Nominee)
15. Dr. A.K. Mohanty, Finance Officer (officiating)- Secretary.

Dr. A.K. Khanduri, Registrar, HNBGU also attended the meeting as a Special Invitee. Prof. Raj Kumar, Vice-Chancellor, Panjab University, Chandigarh(EC Nominee) and Representative of UGC, New Delhi, could not attend the meeting.

At the outset, the Chairperson welcomed all the distinguished members of the Finance Committee and expressed special gratitude to the members for attending and participating in the meeting. She also said that all are looking forward for valuable suggestions and support of the committee in various affairs of the University particularly in financial matter for smooth functioning of the University.

The comments of UGC, MoE and IFD (MoE) on the agenda points received before the meeting were also taken on records.

Thereafter, the agenda items were taken up and after having extensive discussions and deliberations and also taking cognizance of the observations from MoE, IFD and UGC, the following decisions were taken:

**Agenda Item No. 20-1:**

## **Confirmation of the Minutes of 18<sup>th</sup> and 19<sup>th</sup> (Emergent) Meeting of the Finance Committee.**

Draft Minutes of the 18<sup>th</sup> Meeting of Finance Committee held on **05.01.2022** were circulated to all the members through email inviting suggestions/comments on recording of the minutes. Taking into consideration the suggestions/comments of the members, the final minutes had been circulated vide letter dated 13.1.2022 through email followed by speed post. Copy of the minutes is placed at **Annexure-1** for confirmation.

Draft minutes of the 19<sup>th</sup> (Emergent) meeting of the finance committee held on **13<sup>th</sup> April 2022** were circulated to all the members. Copies of the minutes are placed at **Annexure-1A** for confirmation.

**(Annexure-1 and 1A)**

### **Resolution:**

The minutes were confirmed subject to strict compliance of the relevant Statutory provisions of the University and also strict compliance of the related Govt. of India instructions and guidelines including those issued by the Central Vigilance Commission.

### **Agenda Item No. 20-2:**

**To consider and approve the "Action Taken Reports" on the decisions taken by the Finance Committee. (18<sup>th</sup> and 19<sup>th</sup> (Emergent) Meeting of the Finance Committee held on 05.01.2022 and 13<sup>th</sup> April 2022.**

A brief report of Action Taken on the resolutions of the **Meetings of Finance Committee** is placed at **Annexure-2** for consideration and approval.

**(Annexure-2)**

### **Resolution:**

The "Action Taken Reports" was reported and recorded subject to strict compliance of the relevant Statutory provisions of the University and also strict compliance of the related Govt. of India instructions and guidelines including those issued by the Central Vigilance Commission.

### **Agenda Item No. 20-3:**

**A power point presentation to appraise the august body regarding various developmental activities of the University along with a progress of expenditure under various budget heads.**

#### **Resolution:**

The power-point presentation was made. The committee while considering the progress of the completion of various buildings projects of the University recommended that after completion of the projects and before possession of the building, a joint inspection of construction agency and University officials may be held. Further building committee members and building audit teams may also inspect the buildings. After compliance of observation (if any) as observed by the inspection teams, the buildings may be taken into possession by the University from the construction agency.

### **Agenda Item No. 20-4:**

**To consider and approve the Budget Estimates 2022-23 (Salary, Recurring and Capital Assets) of the University.**

As per the direction of UGC, the University has submitted the B.E. for FY 2022-23 under Salary, Recurring and Capital Assets heads to the UGC in the prescribed format with the approval of the Chairperson of the FC/EC. Summary of the BE for FY **2022-23** is as below.

#### **B.E. 2022-23 (Salary, Recurring & Capital Assets) (Rs. In Lakhs)**

<b>Major Budget Heads</b>	<b>B.E. 2021-22</b>	<b>BE 2021-22 Approved by UGC</b>	<b>B.E 2022-23</b>
<b>Salary/Arrear</b> including other related components	14378.00	10029.36	13111.39
<b>Recurring</b> including Merged Schemes, Pension/pension arrear, Non-NET Fellowship, Non Salary and others	6353.25	2145.00	7235.69
<b>Capital Assets</b> (includes Books & Journals, Equipments/Laboratory, Campus Development, Furniture & Fixture, Construction of Roads, Providing electricity/ water/ sewerage lines/ plantation, Compound wall, Major repair/renovation /up-gradation, other infrastructure)	1700.00	500.00	1700.00
<b>Total</b>	<b>22431.25</b>	<b>12674.36</b>	<b>22047.08</b>
Internal Receipt	<b>2500.00</b>		

Submitted before the committee for approval.

**(Annexure-3)**

## **Resolution:**

The committee approved the Budget Estimates 2022-23 (Salary, Recurring and Capital Assets) of the University subject to the condition that the estimates are based on a realistic assessment of requirement of funds for the University and also subject to availability of funds from Govt. of India under Salary-36, Recurring -31 and Capital Assets-35 for the financial year. Further the University should ensure that expenditure is restricted within the sanctioned budget grant and no liabilities should be allowed to accumulate. Further it was advised that every year fee should be revised to the extent of 10% considering the increase of expenditure and reduces of internal receipt.

## **Agenda Item No. 20-5:**

**To consider the MoE letter dated 07.04.2022 regarding proposal for loan to HNBGU from Higher Education Funding Agency (HEFA).**

The MoE vide its letter No. 53-1/2019-CU-V Dated 07.04.2022 informed that the IFD has concurred in for the grant of Rs. 44.60 cr for funding of following three projects through HEFA. Regarding other residential facility projects it has suggested that residential projects may be taken up in a phased manner separately. The University has already applied for funding of loan to HEFA.

<b>Proposal submitted to MoE after approval of FC</b>	<b>(Rupees in Cores)</b>	<b>Proposal Approved by MoE</b>	<b>(Rupees in Cores)</b>
Boys Hostel	14.26	Approved	14.2651
Science Block	11.00	Approved	11.0202
Exam, Laboratory & Faculty Block ( Multi purpose Hall)	19.3	Approved	19.3147
Residence for Faculty & NT( chauras)	17.19	To be taken up separately	-
Residences for Non Teaching (Pauri & Tehri)	6.00	To be taken up separately	-
<b>Total Rs.</b>	<b>67.74</b>		<b>44.60</b>

After receiving some clarification from MoE the University has submitted loan application to HEFA and it is in process.

It is proposed that we may further request to MoE for consideration of sanction of loan of Rs. 23.14 for residential projects in view of priorities and necessity felt by the University.

Submitted for consideration.

**(Annexure -4)**

**Resolution:**

The committee resolved that the process for obtaining HEFA loan for the 03 approved projects to be expedited. Further the University should take up additional proposals of HEFA loan for residence of faculty and non faculty with MoE.

**Agenda Item No. 20-6:**

**To consider the GPF rate of interest to the subscribers of the University for the financial year 2021-22.**

On the basis of the recommendation of the GPF investment committee, the University has notified GPF interest rate of **5.96%** for the FY 2021-22 for the employees of the University, based on the interest earned by the University during the FY year 2021-22. During the FY 2020-21, the rate of interest was 5.90%. As the University has started investing GPF fund in bonds, in coming years the University can minimize the differences of interest rate of GPF. Present rate of interest notified by the Govt for the FY 2021-22 is 7.1%. **(Annexure -5)**

Submitted for consideration and approval of the Finance committee.

**Resolution:**

The committee was appraised that the University has been paying GPF Interest to the subscribers based on the interest earned by the University by investing GPF fund and not paying higher interest than the interest earned. The committee took note of it and recommended that if the interest earned by the University is less than the rate announced by Ministry of Finance the subscribers shall be paid interest at a lesser rate as per the interest earned by the University.

**Agenda Item No. 20-7:**

**To consider to start Gratuity Insurance and Corpus for Gratuity Payment of the contractual employees of the University.**

**A.** Before 15.1.2009, the University had engaged nearly 156 contractual employees/Daily wagers who have been working without any break covered under EPF scheme. All these employees have completed uninterrupted service of minimum five years and became eligible for Gratuity as per Gratuity Act.

As per Gratuity Act 1972, under clause 4A it is compulsory for any organization to obtain an insurance for its liability for payment towards the Gratuity from the LIC established under the Life Insurance Corporation of India Act 1965( 31 of 1956) ( copy enclosed). Presently these 156 employees are not covered under any Gratuity Linked Insurance Scheme. Since the UGC/MoE may

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not release any additional grant towards Gratuity of employees who are not appointed against any sanctioned post, it is proposed that we may consider starting Gratuity Insurance for all the contractual/Daily wage employees including employees of the self financing Departments being the principal employer. In that case, there will be no liabilities on the part of the UGC/University. **(Annexure 6A)**

Submitted for consideration.

**B.** In this regard it is also to submit that, the University has sought a proposal through LIC about the monthly premium rate and one time initial contribution as the responsibilities of LIC will be on past years of service. An estimate of LIC along with scheme of Gratuity is enclosed. As per estimate monthly contribution will be Rs. 22.02 lacs and past service benefit cost will be Rs. 402.43 lacs.

As it is mandatory to comply the Gratuity Act and there will be no grant from UGC and MoE in this regard, it is proposed that the University may create a corpus towards payment of Gratuity to the eligible employee from the internal receipt of the University. The self finance deptts will also create their corpus separately towards payment of gratuity from their share of 40% earning.

Submitted for consideration.

**(Annexure -6B)**

**Resolution:** The committee was of the opinion that the payment of Gratuity to the contractual employees of the University has wider implications. For regularization of the above contractual/daily wage employees as on time measure the University should constitute a committee with external members to go through all the pros and cons on engagement, continuation vis a vis provision of regularization as per various supreme court judgements. The committee may be given a mandate to come out with comprehensive solution as one time measure considering the facts that many of the employees have already working uninterruptedly for more than 20 years. Recommendations of the committee to be submitted to UGC.

**Agenda Item No. 20-8:**

**To consider the payment of Gratuity to Prof. S. P. Kala, Ex-Professor, Deptt of MBA ( Self-finance) from the self finance fund of the Department.**

1. Prof. S.P.Kala joined as Professor against the post sanctioned by the Govt. of UP vide GO NO. 943 dated 20.3.1997. Vide above said GO the post was created post under self finance scheme.
2. Prof. Kala joined in the Department on 8<sup>th</sup> June 1998.
3. On completion of his 60 years age Prof. Kala superannuated on 30<sup>th</sup> June 2011 after uninterrupted service of 13 years.
4. Prof. Kala was under EPF Scheme-1952.

5. Prof. Kala has received all the benefit under the EPF scheme as applicable as per EPF rules.
6. In the year 2003 the State Govt vide office order dated 15.10.2003 clarified that the teachers appointed under self financed scheme of MBA department of HNB Garhwal University will only get their salary, DA and other allowances from the income generated from the self financed source. No fund will be sanctioned from the Govt for any other benefits.

There are several grievances starting from PMO, MoE and CIC pending with the University regarding release of Gratuity. Considering the provision under the Payment of Gratuity Act 1972, it is proposed that Prof. Kala may be paid his dues of gratuity from the self finance fund of the MBA Dept. Payment will be made as per clause 4(2) of the Gratuity Act i.e. " For every completed year of service of part thereof in excess of six months, the employer shall pay gratuity to an employee at the rate of fifteen days' wages based on the rate of wages last drawn by the employee concerned. **(Annexure-7)**

**Resolution:** The committee was informed that the payment of Gratuity under Gratuity Act 1972 is under active consideration of MoE. The University may hold the matter till issuance of notification by MoE in this regard.

**Agenda Item No. 20-9:**

**To consider to contribute employer's share as per the Employees' Provident Fund and Miscellaneous Provisions Act 1952.**

The Hemvati Nandan Bahuguna Garhwal University, Srinagar Garhwal, Uttarakhand registered under 'The Employees' Provident Fund and Miscellaneous Provisions Act 1952' since the year 2004-2005 with registration ID UKDDN0033149000. Presently, we have been depositing PF for nearly 165 employees. All these employees are engaged on contractual/Daily wage basis and some of them are appointed in self-finance departments. EPF contribution of employees under self finance Departments are paid from resource generation head of the Departments. Out of these employees, nearly 15 employees are in teaching posts and others are working as Non-teaching posts. At present basic salary of all these employees ranges from Rs. 18000 to Rs.1,56,000.

Presently the University is deducting @ of 12% of Basic and DA from the employees towards their EPF and contributing @13.15% (PF-3.67%, EPS-8.33%, ELDI-0.5% and Admn charges-0.65%). Accordingly, depositing EPF @25.15% of employee's basic salary and DA every month in PF accounts.

Recently, it has come to the notice from EPF website "present rate of contributions" that at best an employer can contribute @13.15% on maximum wage ceiling of Rs. 15000/-. Accordingly the University can only contribute Rs 1975 per employee in their respective EPF account. However, presently we are contributing much higher amount which ranges from Rs. 3175 to Rs.23775 per

employee. In a recent audit, in a similar case for outsourced employees C&AG has made objection and accordingly the University ratified the rate of contribution.

The University has sought clarification from EPFO office on the following points.

1. Whether the University as employer can contribute Rs. 1975 per month, per employee i.e. 13.15% of Maximum wage limit of Rs.15000 per employee?
2. By contributing the above amount as per point 1 does the University violate any EPF rules?
3. Whether the deduction from employee will be Rs. 1800/- per month i.e. 12% of Rs.15000/ or it will be 12% of their Basic salary and DA?
4. Can the University recover the additional contribution already contributed on and above Rs. 1975/- from the employees?

Clarification received from EPFO is enclosed. As per clarification received the principal employer has to contribute 13.15% on the maximum wage limit of Rs. 15000/- only. However, the employee can contribute on higher side. Considering the above facts after receiving clarification from EPFO, the University has started contributing employer's share as per the notification of EPF office [https://www.epfindia.gov.in/site\\_docs/PDFs/MiscPDFs/ContributionRate.pdf](https://www.epfindia.gov.in/site_docs/PDFs/MiscPDFs/ContributionRate.pdf) (copy enclosed). The differences of earlier contribution and revised contribution rate to be deposited in a corpus proposed for payment of Gratuity, without burdening any additional cost.

Submitted for consideration. **(Annexure-8)**

### **Resolution:**

The committee took note of it.

### **Agenda Item No. 20-10:**

#### **Request for advice on Negative Corpus of the Annual Accounts of the University in view of making provisions for superannuation/Pension.**

The Finance committee of the University in its 10<sup>th</sup> meeting held on 29-6-2016, while considering the unaudited annual accounts 2015-16 of the University observed that the University has not projected pensionary benefits in the accounts based on actuary valuations. Accordingly the University started projecting Gratuity, Leave Encashment and other pensionary benefits in schedule-3 (current liabilities and provisions) of the Annual Accounts from the FY 2016-17 onwards. As a result of making above provision corpus of the University became negative. The C&AG has also observed that the negative corpus matter be sorted out.

The matter was referred to UGC. The UGC vide its letter dated 7<sup>th</sup> March 2022 directed that the matter may be consulted with concerned A.G.

Accordingly, the matter was discussed with Deputy Comptroller and Auditor General of India in a meeting held on 7<sup>th</sup> June 2022. It was informed that the employees of the University are from pensionable establishment and the liabilities of pension and other pensionary benefits are lies with Govt of India in view of Central University Act, 2009. Though the Govt of India is paying the pensions and other benefits to the employees of the University, there is no such commitment letter from Govt of India/UGC to make payment to the employees of the autonomous bodies in future also. Accordingly, the University should approach to UGC/MoE for a commitment letter for payment of pension, Gratuity and other pensionary benefits to the employees appointed against sanctioned post. On receiving the same University has to omit the provisions in schedule-3 of Annual Accounts and negative corpus issue will be resolved. Submitted for consideration. **(Annexure-9)**

**Resolution:** The committee resolved that the University should approach to UGC for the commitment letter towards payment of pension, Gratuity and other pensionary benefits to the employees of the University appointed against sanctioned posts.

**Agenda Item No. 20-11:**

**To consider and approve the unaudited Annual Accounts of the University for the financial year 2021-22.**

Annual Accounts of the University for the FY 2020-21 was submitted to MoE in Feb, 2022 for placing before the Parliament. The Annual Accounts of the University for the year 2021-22 has been prepared as per the prescribed format issued by the MoE and submitted to DG (Audit) for verification and preparation of Separate Audit Report (SAR). Submitted for approval.

**( Annexure-10)**

**Resolution:**

The committee approved the unaudited Annual Accounts of the University for the financial year 2021-22 subject to the condition that preparation and presentation of Annual Accounts for the year 2021-22 are strictly as per the revised formats of Accounts for CEIs and instructions/guidelines which were issued vide MHRD's letter No. 2904/2012-IFD dated 17.4.2015. Further, University should ensure that the interest earned on the Government grant be deposited back to Government account. University should also strictly adhere to the timelines for submission of annual accounts as prescribed by the Ministry of Finance and Ministry of Education.

**Agenda Item No. 20-12:**

**Status of Audit Paras/Observations raised by the C&AG.**

In the Audit Inspection Report April 2020-2021 on account of the HNBGU, the office of the Director General of Audit(Central) has reported that 60 paras are

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outstanding from the previous year's inspection reports. The matter was discussed with Audit team. Accordingly the University has requested on 20th July 2022 to DG (Audit) office to remove audit para in view of compliance of Audit Inspection Report 2020-21. In last two years the University is able to drop 40 Audit Para so far. Detailed outstanding Audit Paras are placed at Annexure. **(Annexure-11)**

Submitted for kind information.

**Resolution:**

The Committee recommended that pending audit paras to be settled at the earliest as per GoI rules, in consultations with the office of DGACE.

The Meeting of the Committee ended with thanks to the chair.

**Vice Chancellor**  
**Chairperson**

**Finance Officer**  
**Secretary**

<b>Detail of expenditure incurred during April &amp; May 2023</b>				
<b>Salary (Head 36)</b>				
<b>(Rs. In Lakhs)</b>				
<b>Sl. No</b>	<b>Expenditure Head</b>	<b>Actual Exp. For 2022-23</b>		
		<b>Apr-23</b>	<b>May-23</b>	<b>TOTAL</b>
1	<b>Amount spent on Salaries (Regular employees)</b>			
(i)	Faculty Including arrear	542.49	582.28	1124.77
(ii)	Pending Arrear, if any	0.00	0.00	0.00
(iii)	Non-Faculty Staff Including arrear	285.03	308.38	593.41
(iv)	Pending Arrear, if any	0.00	0.00	0.00
	<b>TOTAL (1)</b>	<b>827.51</b>	<b>890.66</b>	<b>1718.17</b>
2	<b>Other Components (These items should not be included in salary and pension indicated above)</b>			
(i)	Leave Encashment	0.00	17.04	17.04
(ii)	LTC	0.00	1.41	1.41
(iii)	Children Education Allowance	0.00	0.00	0.00
(iv)	Medical Reimbursement	0.00	24.80	24.80
(v)	Retirement Benefits	0.00	20.00	20.00
	<b>TOTAL (2) (Other Components)</b>	<b>0.00</b>	<b>63.25</b>	<b>63.25</b>
	<b>Grand Total (1&amp;2)</b>	<b>827.51</b>	<b>953.90</b>	<b>1781.42</b>

Sl. No	Detail of expenditure incurred during April & May 2023	Actual Expenditure		
		Apr-23	May-23	Total
	<b>Recurring Head (31)</b>			
<b>1</b>	<b>Pension</b>			
(i)	Pension for Faculty & Non-Faculty	201.14	202.69	403.83
(ii)	Pensionary Benefits	0.00	28.91	28.91
(iii)	Contribution of Pension fund	0.00	0.00	0.00
(iv)	Contribution to New Pension Scheme	35.11	38.28	73.38
(v)	Payment of VII CPC arrear to Pensioners	0.00	19.76	19.76
<b>Total (i to v)</b>		<b>236.25</b>	<b>289.63</b>	<b>525.88</b>
<b>2</b>	<b>Non-Salary</b>			
(i)	Consumables and Laboratories	5.87	0.82	6.69
(ii)	Books and Journals	0.00	0.00	0.00
(iii)	Expenditure incurred on conduct of Examination <b>(Annexure-B)</b>	59.04	11.82	70.86
(iv)	Electricity Charges	4.74	9.93	14.67
(v)	Water Charges	0.00	0.00	0.00
(vi)	Property Tax	0.00	0.00	0.00
(vii)	TA/DA expenditure for Experts	0.09	0.00	0.09
(viii)	Payment of outsources / contract teaching staff	25.39	24.85	50.23
(ix)	Payment of outsources / contract non-teaching staff	24.87	71.91	96.78
(x)	Contingencies	0.43	0.08	0.51
(xi)	Maintenance/repair of Buildings	6.33	78.07	84.41
(xii)	Other expenses	71.88	73.92	145.80
<b>Total (I to xii)</b>		<b>198.64</b>	<b>271.40</b>	<b>470.04</b>
<b>3</b>	<b>Non-NET Fellowship (M.Phil / Ph.D)</b>	<b>0.00</b>	<b>36.93</b>	<b>36.93</b>
<b>Grand Total (1 to 3)</b>		<b>434.89</b>	<b>597.96</b>	<b>1032.85</b>

**PART -III**

(Follow up on finding outstanding of previous Inspection Reports)

S.No.	Period of IR	Para No.	Brief of Description	Remark
1	102/2015-16	Para I Part-II A	Blockage of funds Rs. 1.21 Crores from 2012.	Previously, all replies were furnished to the audit. However, the paras are still shown as pending as per the latest Inspection Report of Financial Year 2021-22. Matter will be re-examined and replies will be furnished in best possible manner to drop the paras.
2		Para I Part-II B	Unspent Balance Rs. 719.01 Crores of 11th Plan Grant	
3		Para 2 Part IIB	(i) Advance of Rs. 26.21 Lakhs lying unadjusted of sports depts.	
4		Para 2 Part IIB	(ii) Difference of Rs. 1.77 Lakh and Rs. 3.54 Lakhs in records of sports depts.	
5		Para 3 Part IIB	Rs. 9.92 Lakh sports/fitness equipment was procured without any agreement with the supplier.	
6		Para 4 Part IIB	Advance of Rs. 564.43 Lakh was lying unadjusted.	
7		Para 5 Part IIB	Execution of construction work by the working agencies without signing MoU with University	
8		Para 6 Part IIB	Idle expenditure of Rs. 1098.63 Lakh due to Non-functioning of academic activity centre (Guest House)	
9	120/2016-17	Para 1 Part II A	Infructuous Expenditure of Rs. 28.71 coroe in phase I due to Non-completion of phase -II and Phase -III	
10		Para 1 Part IIB	Blockage of funds 834.14 Lakhs under 11 <sup>th</sup> Five year Plan	
11		Para 4 Part IIB	Lose interest Rs. 115.14 Lakh due to return of advance	
12		Para 5 Part IIB	Excess payment of Rs. 830500 Form of GPF	
13		Para 6 Part IIB	Incomplete construction work Rs. 64.84 Lakh	
14		Para 7 Part IIB	Non-inclusion of residual amount and interest of Tehri and Pauri Campuses of University in the Annual Accounts	

15		Para 1 STAN	Non-Expenditure of Rs. 568.75 Lakh in project and blocking the amount	
16	31/2017- 18	Para 1 Part IIA	Irregular payment of service tax Rs. 58.62 Lakh.	Previously, all replies were furnished to the audit. However, the paras are still shown as pending as per the latest Inspection Report of Financial Year 2021-22. Matter will be re-examined and replies will be furnished in best possible manner to drop the paras.
17	31/2017- 18	Para 1 Part IIB	Blockage of funds Rs. 5.21 Crore in Bank.	
18		Para2 Part IIB	Non-recovery of liquidated damages Rs. 5.14 Lakh from construction agencies	
19		Para 3 Part IIB	Non Settlement of Advance Rs. 6.09 Crore.	
20		Para 4 Part IIB	Excess payment of grade pay Rs. 162400.00 due to incorrect pay fixation	
21		STAN 1	Blockage of interest amount Rs. 6.37 Crore.	
22		STAN 2	Non-disposal of obsolete item Rs. 261958.00	
23		STAN 3	Non Maintenance of Assets Register	
24		STAN 4	Irregular of payment of LTC Adjustment	
25	63/2018- 19	Para 2 Part II A	Irregular payment Rs. 19.08 Lakh	
26		Para 1 Part IIB	Blockage of interest amount Rs. 10.29 Crore.	
27		Para 2 Part IIB	Non Recovery of Rs. 44.16 Crore	
28		Para 3 Part IIB	Less recovery of Rs. 1.51 Lakh.	
29		Para 4 Part II B	Non Recovery from canteen Rs. 16.37 Lakh	
30		Para 5 Part II B	Pay fixation not done as per rule	
31	63/2018- 19	Para 6 Part IIB	Advance Adjustment Rs. 15.56 Crore	
32		Para 7 Part II B	Non disposal of idle things of Rs. 2.62 Lakh.	
33		Para 8 Part IIB	Idle expenditure	
34		Para 9 Part IIB	Irregular payment of Rs. 6.94 Crore to Security Agency	
35		Para 10 Part IIB	Irregular payment on travelling allowance	
36		Para 1 STAN	Unfruitful expenditure of Rs. 42.96 Lakh	
37		Para 2 STAN	Irregular payment	

38	37/2019-20	Para2 Part II A	Objective not fulfilled despite expenditure of Rs. 151.57 Lakh
39		Para 1 Part IIB	Balance money of 4.18 not surrendered
40		Para 2 Part IIB	TDS of Rs. 4.90 Lakhs not deducted
41		Para 3 Part IIB	CGHS charges Rs. 30.50 Lakhs less deducted
42		STAN 01	Rs. 1.80 Crore GPF interest not recovered from state government
43		STAN 02	Employees not recruited as per rule.
44	25/2020-21	Para 1 Part II B	23,99,955.00 लाईसेंस फीस की पुनरीक्षित दर से वसूली न किया जाना।
45		Para 2 Part IIB	रु0 25,10,139.00 के एल.टी.सी. अग्रिमों की वसूली न किया जाना।
46		Para 3 A Part IIB	Due to non settlement of works account balance amount of Rs. 25.85 Lakhs of works completed in FY 2018-19 lying with CPWD
47		Para 3 B Part IIB	Accounts of Works amounting to Rs. 343.77 Lakh not settled.
48		Para 4 Part IIB	Excess amount of Rs. 9.67 Lakhs sanction in PE of works construction of faculty development centre and construction if said work was completed till due.
49		Para 5 Part IIB	Non reconciliation of hostel fee of Rs. 0.87 Lakh
50		Para 6 Part IIB	Due to poor implementation of the project, third installment of fund of Rs. 38.85 Lakh lapsed and institutional charges of Rs. 5.00 Lakh to the university from funding agency were not received
51		Para 7 Part IIB	In fructuous expenditure of Rs. 98100.00 on biometric machine not used after the purchase.
52		Para 8 Part IIB	Rent worth Rs. 208324.00 lying due to P.O. and bank.
53		Para 9 Part IIB	Piecemeal purchase of Rs. 3.79 Lakh
54		Para 10 Part IIB	Liquidation damages of Rs. 26672.00 were not deduct from the bills of vendor
55		STAN 01	Sports advance of Rs. 32.18

			Lakh disbursed during FY 2019-20 without ensuring recoveries of previous year such advance of Rs. 47.54 Lakh	
56		STAN 02	Unsettled college advances of Rs. 3,07,95,943.00	
57		STAN 03	Electricity bill worth Rs. 357063.00 are due with various employees of Tehri.	
58	47/2021-22	Part II A Para 1	नियमों की अनदेखी कर रू0 1.19 करोड़ की धनराशि का व्यय	Previously, all replies were furnished to the audit. However, the paras are still shown as pending as per the latest Inspection Report of Financial Year 2021-22. Matter will be re-examined and replies will be furnished in best possible manner to drop the paras.
59		Part II Para 2	पर्यवेक्षण की कमी के कारण रू0 751.24 लाख के कैंटीनों का अनुपयोगी पड़े रहना	
60		Part II A Par a 3	वि0वि0 की उदासीनता के कारण रू0 1717.18 लाख व्ययपरान्त भी उददेश्यों का अप्राप्त रहना।	
61		Part II B Para 1	विश्वविद्यालय की सम्पत्ति तथा संसाधनों का दुरुपयोग कर हॉस्टल मैस संचालकों को अनुचित लाभ पहुँचाना	
62		Part IIB Para 3	रू0 20.20 करोड़ के निर्माण कार्यों के अपूर्ण रहना	
63		Part IIB Para 4	वि0वि0 की उदासीनता के कारण स्वीकृति के बावजूद 11 वर्ष व्यतीत होने पर भी रिक्त पदों का नहीं भरा जाना	
64		Part IIB Para 5	जी0एफ0आर0 2017 के नियम विरुद्ध बचूक को कार्य सौंपे जाने के कारण वि0वि0 को रू0 13.78 लाख की हानि	
65		STAN 4	रू0 46.10 करोड़ का असमायोजित अग्रिम	
66		STAN 5	रू0 10.00 लाख गारण्टी ..की धनराशि को वापस न लिया जाना।	

## Inspection Report on the transaction of 2021-22

University has received final Inspection Report on the transaction of University for the Financial Year 2021-22 from the office of the Director General of Audit (Central), Lucknow, branch office- Prayagraj vide e-mailed letter No. Central Expenditure/2023-24/DIS-849453 dated 13.06.2023 as per the following:

University is examining the paras and is in process to furnish the reply as soon as possible.

### **PART-II (Audit Finding)** **PART-II-(A) Significant Audit Finding**

**Reference Number : OBS-623808**

**Para 1 :** Short recovery of Income Tax due to non-accounting of perquisites as income amounting to Rs. 1.59 Crores.

**Reference Number : OBS-627646**

**Para 2 :** Rs. 2.82 Crore not adjusted of Examination Advances to Colleges even after the laps of more than 09 Financial Year in HNBGU Srinagar

**Reference Number : OBS-625555**

**Para 3 :** Advances of Rs. 2.32 Crore not adjusted even after the laps of one to 22 financial year in HNBGU Srinagar

**Reference Number : OBS-625568**

**Para 4 :** Sponsoring agency pull back funds amounting to Rs. 3.69 Crore due to lackadaisical approach of the university

### **PART-II-(B) (Other incidental Audit Findings)**

**Reference Number : OBS- 624456**

**Para 1 :** उपकरण की खरीद एवं प्रोजेक्ट्स हेतु प्राप्त धनराशि को बचत खाते में 12 वर्ष से अवरुद्ध रहना।

**Reference Number : OBS- 630442**

**Para 2 :** Non adherence of government prescribed pattern of investment.

**Reference Number : OBS- 632929**

**Para 3 :** Inadequate Internal Control System.

### **STAN**

**Reference Number : OBS- 633812**

**Para 1 :** सामान्य वित्तीय नियमों के विपरीत टुकड़ों में विभाजित करके क्रय किया जाना।

हेमवती नन्दन बहुगुणा गढ़वाल विश्वविद्यालय  
(केन्द्रीय विश्वविद्यालय)  
श्रीनगर गढ़वाल, उत्तराखण्ड-246174  
HEMVATI NANDAN BAHUGUNA GARHWAL UNIVERSITY  
(A CENTRAL UNIVERSITY)  
Srinagar (Garhwal), Uttarakhand - 246174



पत्रांक: ग.वि.वि./वि.अ./2023/46

दिनांक 30/05/2023

### कार्यालय आदेश

विश्वविद्यालय के सामान्य भविष्य निधि योजना से आच्छादित कार्मिकों का वित्तीय वर्ष 2022-23 में इनके वेतन से सामान्य भविष्य निधि खातों में संचित योगदान पर वित्त मंत्रालय, भारत सरकार एवं समय-समय पर विश्वविद्यालय अनुदान आयोग द्वारा जारी अनुदेशों के क्रम में सामान्य भविष्य निधि के निवेश पर वित्तीय वर्ष 2022-23 में अर्जित ब्याज के सापेक्ष कार्मिकों को 7.10 प्रतिशत की शासकीय दर सक्षम प्राधिकारी के अनुमोदनोपरान्त निर्धारित कर सम्बन्धित कार्मिकों के भविष्य निधि खातों में संचित किये जाने की स्वीकृति प्रदान की जाती है।

  
वित्त अधिकारी

प्रतिलिपि: निम्नलिखित को सूचनार्थ एवं आवश्यक कार्यवाही हेतु प्रेषित:-

1. पेंशन प्रकोष्ठ (सामान्य भविष्य निधि), लेखा अनुभाग।
2. श्री प्रदीप मल्ल, समन्वयक, सामान्य भविष्य निधि।
3. निजी सचिव कुलपति, मा. कुलपति महोदया के सादर सूचनार्थ।
4. निजी सचिव प्रति कुलपति, मा. प्रति कुलपति महोदय के सादर सूचनार्थ।
5. निजी सचिव कुलसचिव/वित्त अधिकारी।
6. कार्यालय प्रति।

  
वित्त अधिकारी



#51, Stock Exchange Towers,  
1st Floor, 1<sup>st</sup> Cross, J C Road,  
Bengaluru – 560027.  
PH No.:080-22228666.

**SANCTION MEMORANDUM**

REF: SAN/HNBSGU/518/2022-23

DATE: 30-12-2022

To,  
**THE REGISTRAR,  
HEMVATI NANDAN BAHUGUNA GARHWAL UNIVERSITY,  
SRINAGAR, DISTRICT: GARHWAL,  
UTTARAKHAND- 246174**

Dear Sir,

**SUB: YOUR PROPOSAL FOR A TERM LOAN OF Rs. 44.60 CRORES /-**

We are pleased to inform you that HEFA Board vide its orders dated 28.12.2022, has accorded approval for your Term Loan proposal of Rs.44.60 Crores on the following terms and conditions:

<b>Nature of Facility</b>	<b>TERM LOAN 1 – Window III</b>		
<b>Amount</b>	<b>Rs. 44.60 Crores (Rupees Forty Four Crores &amp; Sixty Lakhs Only)</b>		
<b>Purpose</b>	For Construction of following:		
	<b>Sl. No.</b>	<b>Name of the Projects</b>	<b>Loan Amount (Rs. in Crores)</b>
	1.	P.G. Science Block at SRT campus, Tehri	11.0202
	2.	Examination, Laboratory and Faculty Block at Srinagar	19.3147
	3.	Boys Hostel, Chauras	14.2651
		<b>TOTAL</b>	<b>44.60</b>
<b>Project Cost</b>	Rs. 44.60 Crores (Rupees Forty Four Crores & Sixty Lakhs Only)		
<b>Margin</b>	Nil		
<b>Security</b>	<b>Primary:</b> Nil. <b>Collateral:</b> Charge on the following to the extent of our Loan amount / outstanding dues: 1. Fee Receivables Account 2. Grants Receivables Account 3. Escrow Bank 3 Receivables Accounts		
<b>Interest</b>			
<b>Applicable ROI / Proposed ROI</b>	7.00% p.a. (Rate of interest as advised by the Board vide Board Meeting dated 03.03.2022). ROI is subject to revision from time to time as per the directions of the HEFA Board.		
<b>Reset of ROI</b>	Next reset shall be on 01.04.2023 and every year thereafter on the said date. The ROI may also be reset any time before the said date, as per the directions of the HEFA Board.		
<b>Repayment</b>	<b>Principal:</b> Repayable in 20 half yearly instalments of Rs. 2.23 Crores (Rupees Two Crores Twenty Three Lakhs Only) each. (Repayment to commence after 6 Months from the date of first disbursement and subsequent instalments to be repaid every 6 months thereafter).  <b>Interest:</b> Interest is charged to the loan account at monthly rests on compounded basis. However, interest accrued at the end of the calendar quarter (i.e. March, June, September and December) has to be repaid within 30 days from the completion of the quarter. <b>Due Date will be 30 of the month after the completion of quarter.</b>		



REF: SAN/HNBGU/518/2022-23

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Scheduled Project completion date.	18 Months from the date of allotment of construction work to executing agency.
Validity of Sanction	One Year from the date of sanction <b>(However, Institute has to execute the loan documents within 45 days from the date of sanction conveying letter)</b>
Disbursement	Directly to Vendor/Agency <b>Conditions:</b> All disbursements from the loan shall be made directly to CPWD / contractor / Vendor/ Implementing Agency / Project monitoring consultant (PMC) against production of bills / certificate.  Disbursements are permitted at the request of the institution, in cases where advance payments have to be made to CPWD / Contractors as per the MOU signed by the institution with CPWD/Contractors against submission of copy of MOU and demand notice.
Upfront fee	Nil
Documentation charges	Nil
Commitment Charges	Nil
Annual Review Charges	Nil
Stamp Duty on Documentation	Actuals to be paid by the University

**OTHER CONDITIONS:**

**1. University to submit an undertaking for the following:**

- a) University shall ensure obtaining all contracts/ agreements/ clearances/ timely approvals (internal & external) of the projects from statutory bodies (wherever applicable) at appropriate time. Further, University shall also ensure submission of confirmation for having complied with all the terms and conditions of the approvals at appropriate time.
- b) University shall ensure that the contractor entrusted with the work is having good track record in implementing project of this scale and related guidelines of Central Vigilance Commission are adequately complied with.
- c) University shall ensure submission of a copy of the periodic Project Progress report submitted by Executing agency along with University's review/comments to HEFA to track the progress of the Project. Time over run, if any, at any point of time shall be brought to the attention of the concerned and steps shall be taken to catch up with the original schedule.
- d) Any revision in the project implementation schedule shall be informed to MOE for approval and the same shall be informed to HEFA. The exact timelines as per contracts shall be furnished to HEFA and MOE.
- e) The University shall ensure submission of documents such as MoU with executing agency, Architect appointment letter with scope of work etc to HEFA at appropriate time for the proposed projects.



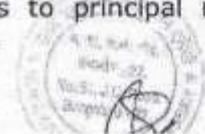
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REF: SAN/HNBGU/518/2022-23

DATE: 30-12-2022

- f) University shall ensure submission of approved building plan and also ensure that the same is in line with MOE approval. Any deviation shall be informed to MOE/HEFA.
  - g) A detailed implementation schedule linked to disbursement of loan shall be drawn in detail referring to civil contract and the same shall be informed to MOE for approval as per prevalent guidelines and the same shall be forwarded to HEFA for monitoring.
  - h) University shall ensure that Architectural drawings/building plans shall be prepared as per local building by-laws and in accordance with National Building Code (NBC) guidelines.
  - i) Any deviation in the project scope or project timeline shall be reported to MOE and MOE approval for the same as per relevant guidelines shall be submitted to HEFA.
  - j) University shall ensure that all statutory approvals applicable at current stage of implementation of projects are in place. Further, University shall ensure compliance with terms and conditions mentioned therein.
  - k) University shall ensure that terms and conditions stipulated in agreements with executing agency will suffice for the proper monitoring of the proposed projects.
  - l) University shall ensure submission of a copy of Administrative Approval & Expenditure sanction of the projects to HEFA at appropriate time.
2. **University to execute the loan documents within 45 days from the date of sanction conveying letter.**
3. **For the proposed loan, Institute to comply with the Escrow Arrangement as under,**
- a. The existing main "**Fee Receivables**" **Account** of the borrower Institution shall be treated as Escrow Account No.1 and the corresponding bank shall be Escrow Bank 1.
  - b. The existing "**Grants Receivable**" **Account** of the Borrower Institution shall be treated as Escrow Account No. 2 and corresponding bank shall be Escrow Bank 2.
  - c. The Institution shall open two more escrow accounts i.e., **Principal Repayment Account** (i.e. Escrow Account 3) and **Interest Repayment Account** (i.e. Escrow Account 4) with Canara Bank (Escrow Bank 3).
  - d. The University falls under Window 3 of RISE by 2022 scheme, wherein they have to repay 10% of the principal portion from their internal resources (Balance 90% of the principal portion along with entire interest (100%) shall be serviced through the grants released by MOE).

Therefore, **on the date of disbursement**, upon intimation from HEFA, Escrow Bank 1 shall debit "Fee Receivables" account to the extent of Rs. 0.223 Crores [being 10% of half-yearly installment of Rs.2.23 Crores] and Escrow Bank 2 shall debit the "Grants Receivables" account to the extent of Rs.2.007 Crores [being 90% of half-yearly installment of Rs.2.23 Crores] and similar amounts every 6 months thereafter [in the same proportion of 10% and 90% respectively], till closure of the loan account and remit the amounts to principal repayment account maintained with Canara Bank (Escrow Bank 3).



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REF: SAN/HNBGU/518/2022-23

DATE: 30-12-2022

**REMARKS:**

**The disbursement under this sanction is subject to:**

- Availability of funds.

*Please return the duplicate copy of this sanction letter duly signed by the authorized signatories, for having accepted all the terms and conditions contained herein.*

**"As per the Orders of the Board of Directors dated 28.12.2022"**

Yours faithfully,



**SUNIL DUTT VERMA  
SENIOR EXECUTIVE VICE PRESIDENT**

Copy to:

**The Bureau Head – Central Universities,**  
Department of Higher Education,  
Ministry of Education, Govt. of India,  
Shastri Bhavan,  
New Delhi.

REF: SAN/HNBGU/518/2022-23

DATE: 30-12-2022

- e. The Escrowed amount so received in Principal Repayment Account with Canara Bank shall be first invested in short term fixed deposits as per borrower's instruction, till the period to coincide with the due dates of half yearly term loan instalment and then transferred to HEFA's account on due dates by Canara Bank as advised by HEFA. This amount so received in HEFA's account will be appropriated towards instalment due in respect of loan account(s) by HEFA.
- f. As interest obligation is fully serviced out of grants released by MOE, upon receipt of demand notice from HEFA, Escrow Bank 2 shall debit the quarterly interest (being the interest debited monthly to the loan account during the calendar quarter i.e., March, June, September and December quarters) to "Grants receivables account" before 10th of April, July, October and January months every year, and in any case within 30 days from the closure of quarter, and remit to Interest Repayment Account maintained with Canara Bank (Escrow Bank 3). Such amount shall be transferred to HEFA's account immediately but not later than 2 working days. This Amount will be appropriated towards interest due by HEFA. **Last Due date of payment of interest will be 30th of April, July, October and January months respectively. Penal interest @ 2% shall be charged from due date for the period of delay in payment of interest.**
4. University to ensure compliance to the observations made by MOE in their approval letter no. F.No.53-1/2019-CU-V Dated 07.04.2022.
5. University to ensure that Grant will be provided by MOE for servicing of 90% of Principal portion along with complete servicing of interest towards the proposed loan, as applicable to Window 3 HEFA Loans.
6. University shall ensure that the contractors entrusted with the work are having good track record in implementing projects of this scale and related guidelines of Central Vigilance Commission and other applicable Govt. of India guidelines are adequately complied with.
7. University to submit a copy of approved Master Plan and Administrative Approval, & Expenditure sanction for the projects at appropriate time. Further, University shall also ensure that relevant approvals have been obtained from Building Committee, Finance Committee and Executive Council of the University for all the Proposed New Projects.
8. Any deviation in the project scope or the project timeline to be reported to HEFA and approval from MoE as per relevant guidelines for the same shall be submitted by the University. Exact timelines as prepared by EPC Contractor may be furnished to HEFA & MoE upon finalisation.
9. University to submit quarterly Physical Progress Report through PMS portal of HEFA.
10. University shall ensure submission of Building plan approved by the university & Local Authorities and also ensure that the same is in line with the MOE approval. Further, the university shall also ensure that the construction shall be as per the approved plan.



REF: SAN/HNBSGU/518/2022-23

DATE: 30-12-2022

11. University to confirm that terms of MoU/agreement with the PMC / Contractor / Executing Agency facilitate for timely completion of the project and also close monitoring of the project. Further, University shall also ensure that proper covenant is in place to safeguard the university's interest from time overrun and other associated project risks.
12. The responsibility of carrying out due diligence before issuing a request for release of funds lies with the University. It shall be the responsibility of the University to ensure that the process laid down in their Statutes and the guidelines issued by the Government are followed scrupulously.
13. Disbursement from the loan shall be made directly to CPWD/Vendor/Executing Agency, as per their demand notice. Loan amount shall be released in stages based on the progress of work. Following documents/papers should be submitted for each disbursement:
  - i) Request letter signed by the Director/Registrar.
  - ii) Demand Notice/Bill of the PMC/Contractor.
  - iii) Copy of the Internal Approvals permitting payment of the Bill.
  - iv) Progress Report submitted by PMC along with the expenditure statement.
  - v) Recent Photographs of the Project indicating the progress.
  - vi) In case of payment of bills under LC:
    - a) Request from the University signed by the Director/Registrar.
    - b) Copy of LC
    - c) Confirmation from the Bank that documents under the LC are in order and strictly in compliance of LC terms.
    - d) Demand from LC opening bank for payment specifying the amount and account number to which the remittance has to be made.
14. In cases, where advance payments have to be made to CPWD/Vendor/Executing Agency/Contractors as per the MOU signed by the institution with CPWD/Vendor/Executing Agency/Contractors, disbursements are permitted at the request of the institution, against submission of copy of MOU along with demand notice issued by the Executing Agency/Contractors.
15. During review of the project (from time to time), if it is found that the project is not being implemented as per the laid down plans, the HEFA Board may take a decision to stop further funding. The University shall abide by the decision of the HEFA Board in this regard.
16. Insurance cover for Theft, Burglary and Fire to be obtained for all equipment, machinery etc, proposed to be purchased out of HEFA finance. The policy shall be assigned in favour of HEFA, wherever applicable.
17. Inspection by HEFA will be carried out as and when deemed necessary.
18. The applicable stamp duty expenses in connection with execution of documents for the proposed loan shall be borne by the University.



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**F. No. 53-1/2019-CU-V**  
**Government of India**  
**Ministry of Education**  
**Department of Higher Education**  
**Shastri Bhawan, New Delhi**

Dated: 07.04.2022

To

**The Registrar,**  
HNB Garhwal University,  
Srinagar – 246174,  
Uttarakhand

**Subject: Proposal for Loan to HNBGU from Higher Education Funding Agency (HEFA) during 2019-20 to 2021-22 – reg.**

Sir,

I am directed to refer to HNBGU's letter Ref. No. HNBGU/C&M/2021/365 dated 17.12.2021 on the subject mentioned above.

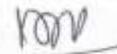
2. It is to inform that IFD have concurred in for the grant of **Rs 44.60 Cr** for funding of following projects through HEFA:

S.No	Infrastructure Project	Approximate cost of the project (Rs. in crore)
1.	P.G Science Block at SRT campus, Tehri.	11.0202
2.	Examination, Laboratory and Faculty Block at Srinagar.	19.3147
3.	Boys Hostel, Chauras.	14.2651
	<b>Total</b>	<b>44.60</b>

3. Further, IFD has observed that projects costing 23.14 cr. have been proposed for providing residential facility to the non-teaching staff at different centres, viz., Chauras, Srinagar and Pauri, out of total Rs. 67.74 cr. It has suggested that residential projects may be taken up in a phased manner separately.

4. It is suggested to take necessary action in the light of the observations of IFD.

Yours faithfully,



(Praveer Saxena)

Under Secretary to the Government of India



BRANCH: DIRECTOR GENERAL OF AUDIT (CENTRAL), LUCKNOW AT  
ALLAHABAD



Date: 31 Oct 2022

To,

Secretary, Department of Higher Education, Ministry of Education, Shastri Bhawan, New Delhi-110001

Subject: Issue of Separate Audit Report : PR-20309 on the Accounts of HNB Garhwal University, Srinagar Uttarakhand for the year 2021-22.

Letter No. DIS-446669

Sir/Madam,

इस पत्र के माध्यम से हेमवती नंदन बहुगुणा गढ़वाल विश्वविद्यालय श्रीनगर, उत्तराखंड के वर्ष 2021-22 के लेखों पर पृथक लेखा परीक्षा प्रतिवेदन (अंग्रेजी) अय्यारित किया जा रहा है।

2. कृपया सुनिश्चित करें की पृथक लेखापरीक्षा प्रतिवेदन एवं सम्बंधित लेखे संसद के दोनों सदनों के सम्मुख प्रस्तुत हुए।

3. कृपया पृथक लेखापरीक्षा प्रतिवेदन एवं लेखों को संसद के दोनों सदनों के समक्ष अंतिम रूप से प्रस्तुत करने की तिथि भारत के नियंत्रक एवं महालेखापरीक्षक के साथ साथ इस कार्यालय को भी सूचित करने का कष्ट करें।

संलग्नक उपर्युक्तानुसार।

भवदीय,

ह ओ/

प्रधान निदेशक लेखापरीक्षा (केंद्रीय)

Letter No. DIS-446697 Dated 31.10.2022

वर्ष 2021-22 के लेखों पर पृथक लेखापरीक्षा प्रतिवेदन (अंग्रेजी) की प्रति वित्त अधिकारी, हेमवती नंदन बहुगुणा गढ़वाल विश्वविद्यालय श्रीनगर, उत्तराखंड -246174 को आवश्यक कार्यवाही हेतु प्रेषित है। संस्थान यदि आवश्यकता अनुभव करे, तो इस प्रतिवेदन का हिन्दी अनुवाद करवा सकता है परन्तु इस प्रतिवेदन के हिन्दी अनुवाद में निम्नलिखित अंकित होना चाहिए :  
"प्रस्तुत प्रतिवेदन मूलरूप से अंग्रेजी में लिखित पृथक लेखापरीक्षा प्रतिवेदन का हिन्दी अनुवाद है। यदि इसमें कोई विसंगति पाया जाता है तो अंग्रेजी में लिखित प्रतिवेदन मान्य होगा।"  
हिन्दी अनुवाद की एक प्रति इस कार्यालय को भी प्रेषित करने का कष्ट करें।

संलग्नक उपर्युक्तानुसार।

Yours faithfully,

Jayakar Babu

Deputy Accountant General / Deputy Director



**Separate Audit Report of the Comptroller & Auditor General of India on the Accounts of the Hemvati Nandan Bahuguna Garhwal University, Srinagar, Pauri Garhwal (Uttarakhand) for the year ended 31 March, 2022**

We have audited the attached Balance Sheet of the Hemvati Nandan Bahuguna Garhwal University, Sri Nagar, Pauri Garhwal – Uttarakhand (University) as on 31 March, 2022, the Income & Expenditure Account and Receipts & Payments Account for the year ended on that date under Section 19 (2) of the Comptroller & Auditor General's (Duties, Powers and Conditions of Service) Act, 1971 read with section 31 (1) of the Central Universities Act, 2009. These financial statements are the responsibility of the University's Management. Our responsibility is to express an opinion on these financial statements based on our audit.

2. This separate Audit Report contains the comments of the Comptroller & Auditor General of India (CAG) on the accounting treatment only with regard to classification, conformity with the best accounting practices, accounting standards and disclosure norms, etc. Audit observations on financial transactions with regard to compliance with the Law, Rules & regulations (Propriety and Regularity) and efficiency-cum-performance aspects, etc., if any, are reported through Inspection Reports/CAG's Audit Reports separately.
3. We have conducted our audit in accordance with the auditing standards generally accepted in India. These standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatements. An audit includes examining, on a test basis, evidences supporting the amounts and disclosure in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of financial statements. We believe that our audit provides a reasonable basis for our opinion.
4. Based on our audit, we report that:
  - (i) We have obtained all the information and explanations, which to the best of our knowledge and belief were necessary for the purpose of our audit;
  - (ii) The Balance Sheet, Income & Expenditure Account and Receipts & Payments Account dealt with by this report have been drawn up in Format of Financial Statement

for Central Higher Educational Institutions (format) prescribed by the Ministry of Human Resource Development, Government of India.

(iii) In our opinion, proper books of accounts and other relevant records have been maintained by the University as required in so far as it appears from our examination of such books.

(iv) We further report that:

**(A) Balance Sheet**

**(A.1) Fixed Assets (Schedule-4) Rs. 363.93 crore**

The University included Rs. 66.21 lakhs pertaining to purchase and installation of transformer and Vacuum circuit Breakers under electrical in Repair and Maintenance (Schedule-19). As both these expenses are of capital nature, this has resulted into understatement of Fixed Assets (Schedule-4) and overstatement of expenditure and consequently understatement of Corpus/Capital fund (Schedule-1) by Rs. 66.21 lakhs each.

**(A.2) Current Assets (Schedule-7) Rs. 133.40 crore**

The University has not included Rs. 6.69 lakhs being amount of value of stock of consumables as on 31.03.2022. This has resulted in understatement of Current Assets (Schedule-7) and Corpus/Capital fund (Schedule-1) by Rs. 6.69 lakhs each.

**(B) Notes on Accounts**

As prescribed in the format of MHRD, 'The Educational Institutions should host the information regarding number of students, number of teachers/professors, Building funds, Sports activities, Co-curricular activities, development charges, compliance with statutory dues and salary structure of teachers/ professors to enable all stake holders to have a bird's eye view of the Institution capacity and capability.' The University needs to consider and incorporate the same into 'Notes on accounts'. The same has been issued in previous year SAR also.

**(C) Management letter:** Deficiencies which have not been included in the Audit Report have been brought to the notice of the University through management letter issued separately for remedial/corrective action.

**(D) Grants-in-aid**

The University received grants-in-aid of Rs. 132.69 crore during the year 2021-22, and generated income of Rs. 44.06 crore. After taking the opening balance of Rs. 98.69 crore, the total fund available worked out to Rs. 275.44 crore. Out of which the University utilized a sum of Rs. 177.51 crore and refunded Rs. 21.27 crore leaving a closing balance of Rs. 76.66 crore as on 31.03.2022.

(v) Subject to our observations in the preceding paragraphs, we report that the Balance Sheet, Income & Expenditure Account and Receipts & Payments Account dealt with by this report are in agreement with the books of accounts.

(vi) In our opinion and to the best of our information and according to the explanations given to us, the said financial statements read together with the Accounting Policies and Notes on Accounts and subjects to the significant matters stated above and other matters mentioned in Annexure to this Audit Report give a true and fair view in conformity with accounting principles generally accepted in India:

(a) *In so far as it relates to the Balance Sheet, of the State of affairs of the HNBG, Central University Srinagar, Garhwal (Uttarakhand) as at 31 March, 2022 and*

(b) *In so far as it relates to Income & Expenditure Account of the 'deficit' for the year ended on that date.*

Place: Lucknow  
Date: 31.10.2022

For and on behalf of the C&AG of India

Sd/-  
Principal Director of Audit (Central), Lucknow

## Annexure

### 1. Adequacy of Internal Audit System

The internal Audit of the University has been conducted for the year 2021-22 by a Chartered Accountant firm.

### 2. Adequacy of Internal Control System

Internal Control System of the University is characterised by following deficiencies:

- 2(i) Shortage of 237 faculty and 103 non-faculty posts against the sanctioned post of 484 and 570 respectively.
- 2(ii) Non-adjustment of advances of Rs. 4598.85 lakhs.
- 2(iii) Non-disposable of unserviceable items worth Rs 0.90 lakhs.
- 2(iv) Rs. 60.95 lakhs under Rajiv Gandhi National Fellowship is lying idle. The University needs to take appropriate decision for its utilization/return.

### 3. System of Physical verification of fixed assets

Physical verification of fixed assets has been conducted during the year 2021-22.

### 4. System of Physical verification of inventory

Physical verification of inventory has been conducted during the year 2021-22.

### 5. Regularity in Payment of statutory dues

The University is regular in payment of statutory dues.



हेमवती नन्दन बहुगुणा गढ़वाल विश्वविद्यालय  
Hemvati Nandan Bahuguna Garhwal University  
श्रीनगर गढ़वाल (उत्तराखण्ड)-246174  
Srinagar Garhwal (Uttarakhand) - 246174  
(केन्द्रीय विश्वविद्यालय)  
(A Central University)

दूरभाष/Telephone : 01346 - 252143 (O)  
ईमेल/Email : fo@hnbgu.ac.in

पत्रांक : हे.न.ब.ग.वि.वि./F.O./2023/ 05

दिनांक : 10/04/2023

To,  
Beena Menon  
Under Secretary,  
University Grants Commission,  
Bahadurshah Zafar Marg, New Delhi.

**Subject:- Budget Estimate 2023-24 under Recurring, Capital Assets and Salary heads –Central Universities -regarding**

Dear Madam,

With reference to your letter No. F.1-6/2023(CU) Dated 31<sup>st</sup> March 2023 on the subject cited above, the desired information on prescribed format is enclosed herewith for your kind consideration and further needful action, please.

The information is also being sent by e-mail ([ugccuinfo@gmail.com](mailto:ugccuinfo@gmail.com), [uscu.ugc@gmail.com](mailto:uscu.ugc@gmail.com), [socu.ugc@gmail.com](mailto:socu.ugc@gmail.com)) as desired.

Thanking You,

Yours Sincerely,  
  
Prof. (M.C. Nautiyal)  
Finance Officer (officiating)

Encl.: as above.

**UNIVERSITY GRANTS COMMISSION**

Name of the University : HNB Garhwal University Srinagar Garhwal Uttarakhand

Information required from the institutions receiving 100% Financial Requirement for the year 2023-24

**(I) FINANCIAL PART**

**(I) SALARY HEAD**

1. Statement indicating the no. of teaching and non-teaching staff and emoluments for the month of February, 2023 (Actual) and April, 2023 (Projected) (one month salary only) of teaching, Non-teaching staff.

Designation	February, 2023 (Actual)		April, 2023 (Projected)	
	No. of Existing Staff on Regular basis except under Project Schemes	Gross emoluments (Rs. in Lakhs)	No. of Existing Staff on Regular basis except under Project Schemes	Gross emoluments (Rs. in Lakhs)
<b>Teaching Staff</b>				
Professors	103	297.13	102	294.30
Associate Professors	34	87.68	34	87.68
Assistant Professors	143	171.02	143	171.02
<b>Total</b>	<b>280</b>	<b>555.83</b>	<b>279</b>	<b>524.39</b>
<b>Non-Teaching Staff</b>				
Group-A	22	48.36	21	47.10
Group-B	35	36.28	35	36.28
Group-C	386	197.33	386	197.33
<b>Total</b>	<b>443</b>	<b>281.97</b>	<b>442</b>	<b>280.71</b>

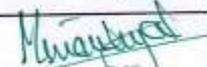
**2. Projected expenditure on salary head for the month of April, 2023 (i.e. 30<sup>th</sup> April)**

Expenditure on Regular Teaching Staff Salary except under Project Schemes	Expenditure on Regular Non-Teaching Staff salary except under Project Schemes	Expenditure on Other component of regular staff i.e. Leave Encashment, LTC, Retirement Benefits, Children Education Allowance and Medical Reimbursement	Total
524.39	280.71	117.21	922.31

3. Interest earned by the University during 2022-23 under salary head = Rs. (in lakhs) Nil
4. Opening balance as on 1.4.2023 (under Salary head) = Rs. (in lakhs) Nil
5. Amount required to meet additional requirement for One D.A. installment announced w.e.f. 1.1.2023. if notified then only = Rs. (in lakhs) 26.78
6. B.E. 2023-24 as approved by the Finance Committee of University in B.E. 2023-2024

(Rs. In Lakhs)

Total amount approved in B.E. 2022-23	Regular Teaching and Non-Teaching Staff salary except under Project Scheme	Leave Encashment, LTC, Retirement Benefits, Children Education Allowance and Medical Reimbursement	Regular Pension for Teaching and Non-teaching and Pensionary benefit i.e. Contribution of Pension fund, Contribution to New Pension Scheme	Non-salary	Non-NET Fellows hip	Total
Total Approved B.E. 2023-24	12638.98	2185.00	3508.00	4304.00	600.00	23235.98
Less Internal Receipts (2023-24)				2500.00		2500.00
UGC Share after adjusting internal receipts	12638.97	2185.00	3508.00	1804.00	600.00	20735.97

  
**Finance Officer**  
 H.N.B. Garhwal University  
 Srinagar Garhwal

  
**Registrar**  
 H.N.B. Garhwal University  
 Srinagar (Garhwal)

**7 (A) Actual expenditure for the year 2018-19, 2019-20, 2020-21, 2021-22 and 2022-23 under Salary head (36) (except project schemes which are running a separately) (Rs. In Lakhs)**

Sl. No	Expenditure Head	Actual Expenditure					
		2018-19	2019-20	2020-21	2021-22	2022-23	
<b>1</b>	<b>Amount spent on Salaries (Regular employees)</b>						
(i)	Faculty	5036.79	6221.04	5129.14	5987.45	7069.76	
	Payment VII CPC arrear	0.00	0.00	1207.19	0.00	0.00	
	<b>NPS arrear TE</b>			40.00	3600.44		
(ii)	Non-Faculty Staff	3089.46	3673.07	3300.29	0.00	3421.36	
	Payment VII CPC arrear	0.00	0.00	344.22	0.00	0.00	
	<b>NPS arrear NT</b>			20.00	0.00	0.00	
	<b>TOTAL (1)</b>	<b>8126.25</b>	<b>9894.11</b>	<b>10040.84</b>	<b>9587.89</b>	<b>10491.12</b>	
	<b>Other Components</b>						
	(These items should not be included in salary and pension indicated above)						
(i)	Leave Encashment	138.84	174.31	131.24	125.62	219.16	
(ii)	LTC	37.18	40.13	8.95	0.01	43.14	
(iii)	Children Education Allowance	88.85	133.29	110.83	100.12	100.86	
(iv)	Medical Reimbursement	108.58	93.14	125.32	83.25	135.92	
(v)	Retirement Benefits	1406.28	516.63	1185.81	759.13	1269.86	
	<b>TOTAL (Other Components)</b>	<b>1779.73</b>	<b>957.50</b>	<b>1562.15</b>	<b>1068.13</b>	<b>1768.94</b>	
	<b>Total (1+2)</b>	<b>9905.98</b>	<b>10851.61</b>	<b>11602.99</b>	<b>10656.02</b>	<b>12260.06</b>	

**7 (B) As per Audited Account, month-wise actual expenditure for the financial year 2022-23 under Salary**

Head	Month-wise actual expenditure for the financial year 2022-23 under Salary												Total 2022-23
	Apr-2023	May-2023	Jun-2023	Jul-2023	Aug-2023	Sep-2023	Oct-2023	Nov-2023	Dec-2023	Jan-2024	Feb-2024	Mar-2024	
FACULTY SALARY FOR REGULAR EMPLOYEES	209.12	528.36	495.47	506.25	502.94	511	521.41	638.11	498.13	574.78	861.08	1223.1	7069.76
PAYMENT OF ARREAR 7 CPC													0
NON-FACULTY SALARY FOR REGULAR EMPLOYEES	0.00	297.98	286.38	289.37	288.98	291.5	288.26	308.55	283.75	290.92	295.98	499.65	3421.36
PAYMENT OF ARREAR 7 CPC													0
<b>TOTAL - I</b>	<b>209.12</b>	<b>826.34</b>	<b>781.85</b>	<b>795.62</b>	<b>791.92</b>	<b>802.55</b>	<b>809.67</b>	<b>946.66</b>	<b>781.88</b>	<b>865.70</b>	<b>1157.06</b>	<b>1722.75</b>	<b>10491.12</b>
LEAVE ENCASHMENT	0.00	39.47	5.13	41.46	0	0	0	1.51	57.31	27.65	19.59	27.04	219.16
LTC	1.11	0.00	0.01	0.00	0	1.27	0	21.71	0.2	2.02	6.82	10	43.14
CHILDREN EDUCATION ALLOWANCE	0.00	0.00	4.86	0.00	73.86	0	0	0	19.71	0	1.08	1.35	100.86
RETIREMENT BENEFIT	40.00	18.31	197.87	21.46	253	97.67	0	148.94	18.65	203.31	119.88	150.77	1269.86
MEDICAL REIMBURSEMENT	21.51	1.63	0.16	0.30	6.56	2.78	8.93	0	5.04	0	63.75	25.26	135.92
<b>TOTAL - II</b>	<b>62.62</b>	<b>59.41</b>	<b>208.03</b>	<b>63.22</b>	<b>333.42</b>	<b>101.72</b>	<b>8.93</b>	<b>172.16</b>	<b>100.91</b>	<b>232.98</b>	<b>211.12</b>	<b>214.42</b>	<b>1768.94</b>
<b>GRAND TOTAL</b>	<b>271.74</b>	<b>885.75</b>	<b>989.88</b>	<b>858.84</b>	<b>1125.34</b>	<b>904.27</b>	<b>818.60</b>	<b>1118.82</b>	<b>882.79</b>	<b>1098.68</b>	<b>1368.18</b>	<b>1937.17</b>	<b>12260.06</b>

4 N B. Garhwal University  
Srinagar (Garhwal)

(2)

Manish Kumar Singh  
Registrar Garhwal University  
Srinagar Garhwal

8. Actual requirement under B.E. 2023-24 towards salary (except project schemes which are running a separate scheme).		(Rs. in Lakhs)	
Sl. No	Expenditure Head	Actual Exp. For 2022-23	Actual requirement under B.E. for 2023-24
1	Amount spent on Salaries (Regular employees)	6637.05	8392.06
(i)	Faculty	0.00	0.00
(ii)	Pending Arrear, if any	3700.00	4246.91
(iii)	Non-Faculty Staff	0.00	0.00
(iv)	Pending Arrear, if any	10491.12	12638.97
	<b>TOTAL (1)</b>		
2	Other Components (These items should not be included in salary and pension indicated)	219.16	425.00
(i)	Leave Encashment	43.14	130.00
(ii)	LTC	100.86	200.00
(iii)	Children Education Allowance	1972.95	230.00
(iv)	Medical Reimbursement	1269.86	1200.00
(v)	Retirement Benefits	1768.94	2185.00
	<b>TOTAL (2) (Other Components)</b>	1972.95	2185.00
	Total (1+2)	12310.00	14823.97

(Rs. in Lakhs)

Head	April 2023	May* 2023	June 2023	July 2023	August 2023	Sept. 2023	Oct 2023	Nov. 2023	Dec. 2023	Jan. 2024	Feb. 2024	March 2024	Total 2022-23
	FACULTY SALARY FOR REGULAR EMPLOYEES	524.39	597.80	597.80	615.74	615.74	628.05	628.05	628.05	628.05	659.46	659.46	659.46
VACANT POST	0.00	70.00	70.00	90.00	90.00	90.00	90.00	90.00	90.00	90.00	90.00	90.00	950.00
NON-FACULTY SALARY FOR REGULAR EMPLOYEES	272.92	300.21	300.21	309.22	309.22	315.40	315.40	315.40	315.40	331.17	331.17	331.17	3746.91
VACANT POST	0.00	40.00	40.00	40.00	40.00	40.00	50.00	50.00	50.00	50.00	50.00	50.00	500.00
<b>TOTAL - I</b>	<b>797.31</b>	<b>1008.02</b>	<b>1008.02</b>	<b>1054.96</b>	<b>1054.96</b>	<b>1073.46</b>	<b>1083.46</b>	<b>1083.46</b>	<b>1083.46</b>	<b>1130.63</b>	<b>1130.63</b>	<b>1130.63</b>	<b>12638.97</b>
LEAVE ENCASHMENT	10.00	35.00	40.00	40.00	30.00	30.00	40.00	40.00	40.00	40.00	40.00	40.00	425.00
LTC	0.00	10.00	10.00	20.00	20.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	130.00
CHILDREN EDUCATION ALLOWANCE	100.00	200.00	150.00	150.00	150.00	50.00	50.00	80.00	60.00	60.00	60.00	60.00	200.00
RETIREMENT BENEFIT	15.00	15.00	15.00	15.00	15.00	15.00	20.00	20.00	20.00	25.00	25.00	30.00	230.00
MEDICAL REIMBURSEMENT	125.00	410.00	215.00	275.00	215.00	95.00	110.00	140.00	130.00	145.00	145.00	180.00	2185.00
<b>TOTAL - II</b>	<b>922.31</b>	<b>1418.02</b>	<b>1223.02</b>	<b>1329.96</b>	<b>1269.96</b>	<b>1168.46</b>	<b>1193.46</b>	<b>1223.46</b>	<b>1213.46</b>	<b>1275.63</b>	<b>1275.63</b>	<b>1310.63</b>	<b>14823.97</b>
<b>GRAND TOTAL</b>													

9. Month-wise Projected expenditure for the financial year 2023-24 under Salary head

\*including salary/DA arrears

*M. Anand*  
Finance Officer  
H.N.B. Garhwal University  
Srinagar Garhwal

3

Registrar  
H.N.B. Garhwal University  
Srinagar Garhwal



2. Actual requirement under B.E. 2022-23 under Recurring Head  
Recurring (Head 31)

Sl. No	Pension & Pensionary Benefits (These items should not be included in Salary head)	RE for 2022-23 conveyed by UGC	Actual Exp. For 2022-23	B.E. for 2023-24 approved by F.C. of the Univ.	Actual requirement under B.E. for 2023-24
(i)	Pension for Faculty & Non-Faculty		2546.93	2640.00	2640.00
(ii)	Pending Arrear, if any	2763.97	0.00	180.00	180.00
(iii)	Contribution of Pension fund		0.00	68.00	68.00
(iii)	Contribution to New Pension Scheme		446.99	620.00	620.00
	<b>Total 1 (I to III)</b>	<b>2763.97</b>	<b>2993.92</b>	<b>3508.00</b>	<b>3508.00</b>
2	<b>Non-Salary</b>				
(i)	Consumables and Laboratories		34.25	40.00	40.00
(ii)	Books and Journals		0.91	3.00	3.00
(iii)	Expenditure incurred on conduct of Examination (Annexure-B)		384.40	700.00	700.00
(iv)	Electricity Charges		116.12	150.00	150.00
(v)	Water Charges		104.57	150.00	150.00
(vi)	Property Tax	3585.00	0.00	1.00	1.00
(vii)	TA/DA expenditure for Experts		65.99	100.00	100.00
(viii)	Payment of outsources / contract teaching staff		228.32	250.00	250.00
(ix)	Payment of outsources / contract non-teaching staff		891.12	900.00	900.00
(x)	Contingencies		4.18	10.00	10.00
(xi)	Maintenance/repair of Buildings		398.19	600.00	600.00
(xii)	Other expenses (Annexure C)		1193.85	1400.00	1400.00
	<b>Total 2 (I to xii)</b>	<b>3585.00</b>	<b>3421.89</b>	<b>4304.00</b>	<b>4304.00</b>
3	<b>Non-NET Fellowship (M.Phil / Ph.D)</b>	<b>350.00</b>	<b>292.11</b>	<b>600.00</b>	<b>600.00</b>
	<b>Grand Total (1 to 3)</b>	<b>6698.97</b>	<b>6707.92</b>	<b>8412.00</b>	<b>8412.00</b>
	<b>Internal Receipt of the University for the year</b>	<b>2985.00</b>		<b>2500.00</b>	<b>2500.00</b>

*Mishra*  
Finance Officer  
H.N.B. Garhwal University  
Srinagar Garhwal  
Finance Officer

*Mishra*  
Registrar  
H.N.B. Garhwal University  
Srinagar Garhwal  
Registrar

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3 (A) Actual expenditure for the year 2018-19, 2019-20, 2020-21, 2021-2022 2022-23 under Recurring Head						Rs. In Lakh
Sl. No	Description	Actual Expenditure				2022-23
		2018-19	2019-20	2020-21	2021-22	
	Pension & Pensionary Benefits (These items should not be included in Salary head)					
(i)	Pension for Faculty & Non-Faculty	851.75	1280.82	1796.21	2250.67	2546.93
(ii)	Contribution of Pension fund	0.00	0.00	0.00	0.00	0.00
(iii)	Contribution to New Pension Scheme	118.82	165.33	208.56	323.27	446.99
(iv)	Payment of VII CPC arrear to Pensioners	292.03	0.00	31.17	0.00	0.00
	<b>Total (i to iv)</b>	<b>1262.60</b>	<b>1446.15</b>	<b>2035.94</b>	<b>2573.94</b>	<b>2993.92</b>
2	<b>Non-Salary</b>					
(i)	Consumables and Laboratories	36.81	8.63	0.89	11.22	34.25
(ii)	Books and Journals	3.52	0.72	6.77	9.18	0.91
(iii)	Expenditure incurred on conduct of Examination (Annexure-B)	670.43	644.00	353.72	391.38	384.40
(iv)	Electricity Charges	142.68	178.66	117.07	128.15	116.12
(v)	Water Charges	63.92	65.69	71.13	85.60	104.57
(vi)	Property Tax	0.00	0.00	1.80	0.86	0.00
(vii)	TA/DA expenditure for Experts	19.23	79.53	31.24	10.54	65.99
(viii)	Payment of outsources / contract teaching staff	533.38	504.36	245.91	182.87	228.32
(ix)	Payment of outsources / contract non-teaching staff	1148.43	699.84	812.41	672.10	891.12
(x)	Contingencies	38.90	29.72	44.20	36.56	4.18
(xi)	Maintenance/repair of Buildings	274.10	30.20	215.52	98.28	398.19
(xii)	Other expenses, Annexure-C	785.89	901.74	998.79	809.24	1193.85
	<b>Total (i to xii)</b>	<b>3717.29</b>	<b>3143.09</b>	<b>2899.43</b>	<b>2435.97</b>	<b>3421.89</b>
3	<b>Non-NET Fellowship (M.Phil / Ph.D)</b>	<b>248.54</b>	<b>248.38</b>	<b>316.87</b>	<b>333.65</b>	<b>292.11</b>
	<b>Grand Total (1 to 3)</b>	<b>5228.43</b>	<b>4837.62</b>	<b>5252.24</b>	<b>5343.56</b>	<b>6707.92</b>
	Internal Receipt of the University for the year	3390.07	3330.69	2450.00	2740.00	2985.00

M. Chaturvedi  
 Registrar  
 N. B. Garhwal University  
 Srinagar (Garhwal)

M. Chaturvedi  
 Registrar  
 N. B. Garhwal University  
 Srinagar (Garhwal)

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3 (B) Month-wise actual expenditure for the financial year 2022-23 under Recurring head

(Rs. in Lakhs)

Head	April 2022	May 2022	Jun. 2022	Jul. 2022	Aug. 2022	Sept. 2022	Oct. 2022	Nov. 2022	Dec. 2022	Jan. 2023	Feb. 2023	Mar. 2023	Total
PENSION (FACULTY AND NON-FACULTY)	0.00	170.17	192.50	176.16	217.25	216.06	184.96	212.88	238.60	193.95	192.26	552.14	2546.93
CONTRIBUTION OF PENSION FUND	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CONTRIBUTION OF NEW PENSION FUND	26.98	27.69	27.60	28.37	28.36	30.22	29.85	30.25	30.27	32.41	72.25	82.74	446.99
PAYMENT OF ARREAR	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>TOTAL 1</b>	<b>26.98</b>	<b>197.86</b>	<b>220.10</b>	<b>204.53</b>	<b>245.61</b>	<b>246.28</b>	<b>214.81</b>	<b>243.13</b>	<b>268.87</b>	<b>226.36</b>	<b>264.51</b>	<b>634.88</b>	<b>2993.92</b>
NON-SALARY	229.75	200.22	207.47	87.30	139.13	300.13	264.43	320.76	325.06	281.22	286.05	780.37	3421.89
NON-NET FELLOWSHIP	0.00	34.99	24.57	0.00	37.15	36.81	0.13	52.57	29.25	16.77	33.67	26.20	292.11
<b>Total 2</b>	<b>229.75</b>	<b>235.21</b>	<b>232.04</b>	<b>87.30</b>	<b>176.28</b>	<b>336.94</b>	<b>264.56</b>	<b>373.33</b>	<b>354.31</b>	<b>297.99</b>	<b>319.72</b>	<b>806.57</b>	<b>3714.00</b>
<b>GRAND TOTAL</b>	<b>256.73</b>	<b>433.07</b>	<b>452.14</b>	<b>291.83</b>	<b>421.89</b>	<b>583.22</b>	<b>479.37</b>	<b>616.46</b>	<b>623.18</b>	<b>524.35</b>	<b>584.23</b>	<b>1441.45</b>	<b>6707.92</b>

*M. Chatterjee*  
Finance Officer  
H. N. B. Garhwal University  
Sringeri Garhwal

*M. Chatterjee*  
Registrar  
H. N. B. Garhwal University  
Sringeri Garhwal

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3 (C). Expenditure on payment of Outsource staff/Guest Faculty/ Contract/Re-employed/others (other than Regular Staff)

(Rs. In Lakhs)

S.No.	Category	No. of Staff	Gross emoluments	Under which head the expenditure is being booked (Salary or Recurring Head)								
		2018-19		2019-20		2020-21		2021-22		2022-23		
(i)	<b>Faculty</b>											
	Guest Faculty	259	533.38	271	504.36	97	245.91	93	182.87	109		Recurring Head
	Contract Faculty	8		7		10		0			228.32	
	Re-employment											
	Others (Adjunct Faculty)									4		
	<b>Total (i)</b>	<b>267</b>	<b>533.38</b>	<b>278</b>	<b>504.36</b>	<b>107</b>	<b>245.91</b>	<b>93</b>	<b>182.87</b>	<b>113</b>	<b>228.32</b>	
(ii)	<b>Non- Faculty</b>											
	Contract non-teaching staff against sanctioned strength	177	304.37	177	389.02	177	372.73	167	397.67	167	444.23	
	Contract non-teaching staff over and above sanctioned strength											
	Non-teaching supporting staff engaged on outsources staff	402	1148.43	288	699.84	180	812.41	179	672.10	264	891.12	
	Non-teaching supporting staff engaged on daily wages / adhoc staff engaged by University											
	<b>TOTAL (ii)</b>	<b>579</b>	<b>1452.80</b>	<b>465</b>	<b>1088.86</b>	<b>357</b>	<b>1,185</b>	<b>346</b>	<b>1069.77</b>	<b>431</b>	<b>1335.35</b>	
	<b>Grand Total (i) + (ii)</b>	<b>846</b>	<b>1986.18</b>	<b>743</b>	<b>1593.22</b>	<b>464</b>	<b>1,431</b>	<b>439</b>	<b>1252.64</b>	<b>544</b>	<b>1563.67</b>	

*M. Manoj*  
Finance Officer  
H.N.B. Garhwal University,  
Srinagar Garhwal

*h*  
Registrar  
H.N.B. Garhwal University,  
Srinagar Garhwal

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4. Status of Internal Receipt Generation (IRG) of the University

Financial Year	Total Internal Receipt Generation of the University	Out of total IRG earmarked to HEFA for payment of loan if any	Actual expenditure against out of total IRG earmarked to HEFA for payment of loan	Total loan sanctioned by Govt. of India to university under HEFA (details alongwith the project sanctioned may also be enclosed)
2018-19	3392.07	-	-	-
2019-20	3330.69	-	-	-
2020-21	2450.00	-	-	-
2021-22	2740.00	-	-	-
2022-23	2985.00	-	-	-
2023-24 (Projected)	2500.00	-	-	-
<b>Total</b>	<b>17397.76</b>	-	-	-

6. Opening Balance available with the University as on

Name of the Head	(Rs. In Lakhs)					
	01-04-2018	01-04-2019	01-04-2020	01-04-2021	01-04-2022	01-04-2023
Salary (36)	635.19	-2017.94	-1015.36	0.00	0.00	0.00
Recurring (31)	1450.43	851.16	718.69	-20.08	-99.03	-124.40
Capital Assets (35)	2695.48	2196.27	2880.56	2195.26	2195.26	1785.00
<b>Actual Internal Receipt</b>	<b>2018-19</b>	<b>2019-20</b>	<b>2020-21</b>	<b>2021-22</b>	<b>2022-23</b>	<b>2022-23 (projection)</b>
	3392.07	3330.69	2450.00	2740.00	2985.00	2500.00

*Munishwar*  
 Finance Officer  
 H.N.B. Garhwal University  
 Srinagar Garhwal

*Regisitra*  
 # N B. Garhwal University  
 Srinagar (Garhwal)

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5. Month-wise Projected expenditure for the financial year 2023-24 under Recurring head

(Rs. In Lakhs)

Head	Apr. 2023	May-2023	Jun. 2023	Jul-2023	Aug. 2023	Sep. 2023	Oct. 2023	Nov. 2023	Dec. 2023	Jan. 2024	Feb. 2024	Mar. 2024	Total
PENSION (FACULTY AND NON-FACULTY)	205.00	205.00	205.00	220.00	220.00	220.00	220.00	220.00	220.00	225.00	230.00	250.00	2640.00
CONTRIBUTION OF PENSION FUND	5.00	5.00	5.00	5.00	5.00	5.00	5.00	5.00	5.00	7.00	8.00	8.00	68.00
CONTRIBUTION OF NEW PENSION FUND	40.00	40.00	40.00	50.00	50.00	55.00	55.00	55.00	55.00	60.00	60.00	60.00	620.00
PAYMENT OF ARREAR	10.00	10.00	10.00	15.00	15.00	15.00	15.00	15.00	15.00	20.00	20.00	20.00	180.00
<b>TOTAL</b>	<b>260.00</b>	<b>260.00</b>	<b>260.00</b>	<b>290.00</b>	<b>290.00</b>	<b>295.00</b>	<b>295.00</b>	<b>295.00</b>	<b>295.00</b>	<b>312.00</b>	<b>318.00</b>	<b>338.00</b>	<b>3508.00</b>
NON-SALARY *	240.00	270.00	270.00	300.00	300.00	340.00	360.00	360.00	360.00	380.00	430.00	694.00	4304.00
NON-NET FELLOWSHIP	45.00	45.00	45.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	55.00	60.00	600.00
<b>GRAND TOTAL</b>	<b>545.00</b>	<b>575.00</b>	<b>575.00</b>	<b>640.00</b>	<b>640.00</b>	<b>685.00</b>	<b>705.00</b>	<b>705.00</b>	<b>705.00</b>	<b>742.00</b>	<b>803.00</b>	<b>1092.00</b>	<b>8412.00</b>

*M. Garhwali*  
 H.N.B. Garhwali University,  
 Finance Officer,  
 Srinagar Garhwali

*M. Garhwali*  
 Registrar,  
 H.N.B. Garhwali University,  
 Srinagar (Garhwali)

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**II STATISTICAL PART**

**1. VACANCY POSITIONS**

Faculty :

Name of the Posts	No. of Sanctioned posts	Details of Sanctioned strength as on 31-03-2023										Details of filled up positions as on 31-03-2023										Vacant positions as on 1-04-2023									
		GEN	SC	ST	OBC	EWS	PWD	TG	TOTAL	GEN	SC	ST	OBC	EWS	PWD	TG	TOTAL	GEN	SC	ST	OBC	EWS	PWD	TG	TOTAL						
Professor	44	18	7	3	12	4	2	0	44	11	0	0	0	0	0	0	11	7	7	3	12	4	2	0	33						
Assoc. Prof.	90	36	14	7	24	9	4	0	90	21	3	0	2	0	0	0	26	15	11	7	22	9	4	0	64						
Asstt. Prof.	350	141	53	26	95	35	14	0	350	159	29	14	34	6	0	0	242	-18	24	12	61	29	14	0	108						
<b>Total</b>	<b>484</b>	<b>195</b>	<b>74</b>	<b>36</b>	<b>131</b>	<b>48</b>	<b>20</b>	<b>0</b>	<b>484</b>	<b>191</b>	<b>32</b>	<b>14</b>	<b>36</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>279</b>	<b>4</b>	<b>42</b>	<b>22</b>	<b>95</b>	<b>42</b>	<b>20</b>	<b>0</b>	<b>205</b>						

Note: The reservation for Physical with Disabilities (PWD) is to maintain horizontal

No. of Faculty Deployed as Adhoc Faculty NIL

No. of Teaching Position deployed as Guest Faculty 109

No. of Teaching Position deployed on Contract basis NIL

No. of Teaching Positions deployed on re-employed basis NIL

Reasons for not filling the teaching positions and action taken by the University to fill up the vacancies

All vacant teaching posts are advertised vide Recruitment notification HNBGU/Recruitment(T)/2023/1280 Dated 25-01-2023

No. of teaching positions appointed on adhoc basic : 0

No. of Faculty deployed as Guest Faculty: 93

No. of teaching positions deployed on contract basis : 0

No. of teaching positions appointed on re-employed basis : 0

Reasons for not filling up the teaching positions and action taken by the University to fill up the vacancies in under process. : Advertisement No. HNBGU/Adminy.(T)2021/428 dated 09-11-2021 for vacant Teaching positions in under process.

**(ii) Non-Faculty :**

Name of the Posts	No. of Sanctioned posts	Details of Sanctioned strength as on 31-03-2023										Details of filled up positions as on 31-03-2023										Vacant positions as on 1-04-2023									
		GEN	SC	ST	OBC	EWS	PWD	TG	TOTAL	GEN	SC	ST	OBC	EWS	PWD	TG	TOTAL	GEN	SC	ST	OBC	EWS	PWD	TG	TOTAL						
Group A	57	23	9	4	15	6	1	0	57	19	2	0	0	0	1	0	21	4	7	4	15	6	0	0	36						
Group B	119	48	18	9	32	12	3	0	119	21	13	0	1	0	0	0	35	27	5	9	31	12	3	0	84						
Group C	393	160	59	29	106	39	23	0	393	279	87	3	17	0	9	0	386	-119	-28	26	89	39	14	0	7						
<b>Sub Total - I</b>	<b>*569</b>	<b>231</b>	<b>85</b>	<b>42</b>	<b>153</b>	<b>57</b>	<b>27</b>	<b>0</b>	<b>569</b>	<b>319</b>	<b>102</b>	<b>3</b>	<b>18</b>	<b>0</b>	<b>10</b>	<b>0</b>	<b>442</b>	<b>-88</b>	<b>-16</b>	<b>39</b>	<b>135</b>	<b>57</b>	<b>17</b>	<b>0</b>	<b>127</b>						
Principal/Vice Principal/Head Master									0								0								0						
PGT																									0						
TGT																									0						
Primary Teacher/ others																									0						
<b>Sub Total - II</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>						
<b>Grand Total - (I+II)</b>	<b>*569</b>	<b>231</b>	<b>85</b>	<b>42</b>	<b>153</b>	<b>57</b>	<b>27</b>	<b>0</b>	<b>569</b>	<b>319</b>	<b>102</b>	<b>3</b>	<b>18</b>	<b>0</b>	<b>10</b>	<b>0</b>	<b>442</b>	<b>-88</b>	<b>-16</b>	<b>39</b>	<b>135</b>	<b>57</b>	<b>17</b>	<b>0</b>	<b>127</b>						

Note: The reservation for Physical with Disabilities (PWD) is to maintain horizontal

No. of Persons deployed on daily wages :

167 (Daily wages employees engaged during the period of state University and are being paid minimum pay in compliance of order passed by Hon'ble High Court of Uttarakhand)

No. of Persons on Outsource basis :

184 (Manpower deployed on Outsourcing basis)

*M. N. B. Gathwal*  
 M.N.B. Gathwal  
 Sr. Registrar

(11)



**6 DISTANCE EDUCATION AND ONLINE EDUCATION (AS ON 31.3.2023)**

Whether Distance Education is running on Self-Financing basis		Number of Courses run (List of courses may be enclosed)		Number of Students		Number of Teaching Staff		Number of Non-Teaching Staff		Whether the approval of DEC has been obtained to run the Distance Education Courses.	

N.A

**7 HOSTELS (AS ON 31.3.2022) IN UNIVERSITY**

N.A

Total Number of Hostels (List of Hostels may be enclosed) (Annexure-D)	No. of Girls Hostel out of total Hostels as indicated at S.No.1		Total No. of Rooms in the University Hostels		Total No. of Residents in the University Hostels		General Students	SC Students	ST Students	OBC Students	EWS Students	PwD Students	TG Students	Foreign Students	Total
	Rooms for Boys	Rooms for Girls	Total	Men	Women	Total									
1	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17
18	313	385	698	760	880	1640	690	246	91	439	164	10	0	0	1640

**8 SCHOOLS MAINTAINED BY THE UNIVERSITY (1<sup>ST</sup> TO 10+2) (AS ON 31.3.2023), if applicable**

N.A

Total Number of Schools (upto 10+2)	Total Number of Schools in Schools (upto 10+2)	Total Number of Teaching Staff	Total Number of Non-Teaching Staff	Teacher Student Ratio	Teaching to Non-Teaching Ratio	Name of Board/Affiliation Authority
(List of Schools may be enclosed)						

N.A.

**9. KVS SCHOOL ON PROJECT BASIS IN THE UNIVERSITY CAMPUS (1<sup>ST</sup> TO 10+2) (AS ON 31.3.2023), if applicable N.A.**

Level upto which school if running	Total Number of Students in Schools (upto 10+2)	Total Number of Teaching Staff	Teacher Student Ratio	Teaching to Non-Teaching Ratio

N.A.

Registrar  
H.N.B. Garhwal University  
Srinagar (Garhwal)

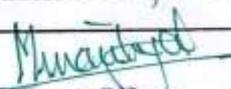
(13)

*[Signature]*  
H.N.B. Garhwal University  
Srinagar Garhwal

<b>10. This information is mandatory to be furnished by the University</b>	
Name of the Vice Chancellor	Prof. Annpurna Nautiyal
Office Ph. No. with STD code = 01346 – 250260, Residence Ph. No. with STD code	01370 – 267101, 267102
Mobile No.	9412079300
E-Mail	vc@hnbgu.ac.in
Name of the Registrar	Dprof. N.S. Panwar
Office Ph. No. with STD code = 01346 – 252143, Residence Ph. No. with STD code	01346- 252648
Mobile No.	9412079520
E-Mail	registrarhnbgu@gmail.com
Name of the Finance	Prof. M.C. Nautiyal
Office Ph. No. with STD code = 01346-252170 , Residence Ph.	01346-252068
Mobile No.	9411154648
E-Mail	fo@hnbgu.ac.in

### 11. Miscellaneous

1.	Date of Establishment as State University (if applicable)	December 1, 1973
2.	Date of Establishment as Central University	January 15, 2009
3.	Territorial Jurisdiction	7 Districts of Garhwal region, Uttarakhand
4.	Location of University	Headquarter at Srinagar (G) and Campuses at Srinagar- Chauras, Pauri Campus and Tehri Campus
5.	Campus Area (in acres)	267.938
6.	No. of maintained colleges (List may be enclosed)	N.A.
7.	No. of affiliated colleges	82
8.	Number of Schools / Faculties (as on 31.03.2023) (List may be enclosed)	11 (List enclosed at Annexure-E)
9.	Number of Departments (as on 31.03.2023) (List may be enclosed)	51 (List enclosed at Annexure-E)
10.	Total Number of Students (as on 31.3.2023) (excluding Schools stu)	13,634 (campus), 60,823 (affiliated college)
11.	Number of Students (Diploma & Certificate Courses in University Departments)	4
12.	Number of School Students (upto 10+2) (as on 31.03.2023) if applicable	N.A.
13.	Number of Foreign Students (included in total number of students)	Nil
14.	Number of Transgender Students (included in total number of students)	Nil
15.	Number of Teaching Staff (as on 31.3.2023)	279
16.	Number of Non-Teaching Staff (as on 31.3.2023)	442
17.	Teacher Student Ratio (as on 31.3.2023)	1:48.86
18.	Teaching to Non-Teaching Ratio (as on 31.3.2023)	1:58

  
Finance Officer  
H.N.B. Garhwal University  
Srinagar Garhwal

  
Registrar  
H.N.B. Garhwal University  
Srinagar (Garhwal)

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**PROPOSAL FOR REQUIREMENT UNDER CAPITAL ASSETS -35 FOR THE FINANCIAL YEAR 2023-24**

1. Name of the University : HNB Garhwal University, Srinagar Garhwal Uttarakhand

2. Details of released, Expenditure incurred and unspent balances

(Rupees In Lakhs)

Year	Opening Balance as on 01-April of the year	Grant Received UGC	Interest earned on grant	Total Grant (2+3+4)	Expenditure incurred	Interest refundable to UGC, if any	Unspent balance as on Closing date i.e. 31st March of the year (5-6-7)
1	2	3	4	5	6	7	8
2019-20	1614.06	900.00	51.57	2565.63	215.71	51.57	2298.35
2020-21	2298.35	694.20	15.56	3008.11	1207.55	15.56	1785.00
2021-22	1785.00	275.34	20.26	2080.60	275.34	20.26	1785.00
2022-23	1785.00	512.01	0.00	2297.01	512.01	0.00	1785.00

Note :- Grant Received UGC of Rs. 525.00 Lakh But Rs. 12.99 Amount Pull back by RBI on Dated 31-03-2023

Please mentioned according to allocation made by UGC

3. Details of grants under Capital Assets -35 for the year 2022-23 and Demand of grants for 2023-24

(Rupees In Lakhs)

S.No.	Items	Grant Allocated during 2022-23	Grant Received UGC during 2022-23	Expenditure incurred against grant released during 2021-22 (up to 31-03-2022)	Proposed Grants Required for the F.Y. 2023-24
1	Books and Journals	75.00	512.01	73.69	400.00
2	ICT enabled infrastructure for online	150.00		144.03	300.00
3	Small Equipments/Laboratories	100.00		99.75	1000.00
4	Campus Development	100.00		99.45	500.00
5	Other Infrastructure including furniture &	100.00		95.09	500.00
6	Additional Grants Allocated if any	0.00	0.00	0.00	0.00
	<b>Total</b>	<b>525.00</b>	<b>512.01</b>	<b>512.01</b>	<b>2700.00</b>

Note :- S.No. 03 including of Rs. 600.00 Lakhs required for Major Equipment under Central Facilities

4. Details of Building Project :

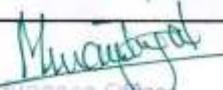
(a) Details of Building/Projects Completed:

(Rupees In Lakhs)

S.No.	Name of the Building/Projects	Cost (Rs. In Lakhs)	Source of Funding	Date of Start	Date of Completion
1	Construction of Girls Hostel (120 beded), at Srinagar	1630.78	UGC	01-12-2019	30-09-2022
2	Construction of Canteen/Student Facility Centre, Pauri	256.95	UGC	01-12-2019	30-11-2021
3	Construction of Faculty Development Centre, Chauras PMMMNMTT	390.00	MoE	01-12-2019	30-11-2021

(b) Details of Building/ Project under Construction :

S.No.	Name of the Building/Projects	Proposed Cost	Grant Received	Already Spent	Date of Start	Likely to be completed on
NIL						

  
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 Finance Officer

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 Srinagar Garhwal  
 Registrar

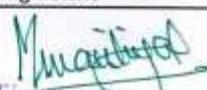
## Annexure - A

## List of Teaching Faculty to be retired from 01-04-2023 to 31-03-2024

S.N.	NAME	Category	DESIGNATION	Present Post	Date of Retirement
1	Dr. Y.P. Sundriyal	UR	Assistant Professor	Professor	30-04-2023
2	Dr. P. Prasad	UR	Assistant Professor	Professor	30-06-2023
3	Dr. Mridula Jugran	UR	Associate Professor	Professor	30-06-2023
4	Dr. P.S. Rana	UR	Assistant Professor	Professor	31-07-2023
5	Prof. Prakash Nautiyal	UR	Professor	Professor	31-07-2023
6	Prof. M.C. Nautiyal	UR	Professor	Professor	31-10-2023
7	Dr. Asha Pandey	UR	Assistant Professor	Professor	30-11-2023
8	Dr. R.S. Negi	UR	Assistant Professor	Professor	31-12-2023
9	Dr. Vibha Mukesh	UR	Assistant Professor	Professor	31-12-2023

## List of Non-Teaching Employees to be retired from 01-04-2023 to 31-03-2024

S.N.	Name of the Employee	Category	Designation	Present Post	Date of Retirement
1	Rajendra Singh	UR	Care Taker	Care Taker	30-04-2023
2	Smt. Vimla Amoli	UR	MTS	MTS	30-04-2023
3	Suresh Lingwal	UR	LDC	LDC	31-05-2023
4	Vinod Kumar Singh	UR	MTS	MTS	30-06-2023
5	Satish Chandra Thapliyal	UR	LDC	LDC	31-07-2023
6	Devendra Singh	UR	Lab Assistant	Lab Assistant	31-08-2023
7	Kamal Nayan Bijalwan	UR	Technical Assistant	Technical Assistant	30-11-2023
8	Arvind Singh Pundir	UR	LDC	LDC	30-11-2023
9	Sulochana Bisht	UR	LDC	LDC	30-11-2023
10	Suraj Mani Mishra	UR	Generator Operator	Generator Operator	31-01-2024
11	Mahabir Singh Pundir	UR	Technician	Technician	29-02-2024
12	N.C. Khanduri	UR	J.E.	J.E.	29-02-2024
13	Beer Singh Bisht	UR	MTS	MTS	29-02-2024

  
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Sringer Garhwal

  
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## - Requirement under B.E. 2023-24 under Recurring Head (Expenditure incurred on conduct of examination)

(Rs. In Lakh)

Sl. No	Examination (Sub Head)	RE for 2022-23 conveyed by UGC	Actual Exp. For 2022-23 under Recurring	B.E. for 2023-24 approved by F.C. under Recurring	Requirement under B.E. for 2023-24
(i)	Remuneration of Examiners	230.00	89.67	100.00	100.00
(ii)	Examiner Travelling/ Central Evaluation exp.	70.00	62.50	75.00	75.00
(iii)	Answer books & related material dispatch	40.00	11.37	50.00	50.00
(iv)	Degree writing / checking	2.00	0.00	1.00	1.00
(v)	Mark sheet writing/checking	50.00	0.00	5.00	5.00
(vi)	Flying squad inspection exp.	2.00	0.72	5.00	5.00
(vii)	Exp. of Centre charges etc.	200.00	95.32	150.00	150.00
(viii)	Printing of answer books	100.00	81.07	100.00	100.00
(ix)	Printing of exam form/ degree's	2.00	19.77	5.00	5.00
(x)	Printing of question papers	80.00	0.00	175.00	175.00
(xi)	Printing of syllabus/others	12.00	0.00	12.00	12.00
(xii)	Exp. of data processing centre (Result computerization)	12.00	23.97	20.00	20.00
(xii)	Printing of central material	4.00	0.00	2.00	2.00
	<b>Total</b>	<b>804.00</b>	<b>384.40</b>	<b>700.00</b>	<b>700.00</b>

## Actual expenditure for the year 2018-19, 2019-20, 2020-21, 2021-22 and 2022-23 under Recurring Head

(Rs. In Lakhs)

S.No.	Examination	Actual Expenditure				
		2018-19	2019-20	2020-21	2021-22	2022-23
(i)	Remuneration of Examiners	227.03	188.98	78.30	28.48	89.67
(ii)	Examiner Travelling/ Central Evaluation exp.	50.33	73.95	3.77	58.49	62.50
(iii)	Answer books & related material dispatch	29.68	24.59	10.22	1.77	11.37
(iv)	Degree writing / checking	0.36	0.25	4.67	0.00	0.00
(v)	Mark sheet writing/checking	0.00	0.33	0.00	0.00	0.00
(vi)	Flying squad inspection exp.	0.99	0.49	0.00	0.00	0.72
(vii)	Exp. of Centre charges etc.	170.13	130.88	107.33	44.86	95.32
(viii)	Printing of answer books	72.18	101.10	10.94	0.00	81.07
(ix)	Printing of exam form/ degree's	7.29	0.15	0.46	5.16	19.77
(x)	Printing of question papers	75.96	114.18	123.73	220.14	0.00
(xi)	Printing of syllabus/others	16.88	5.01	4.54	15.13	0.00
(xii)	Exp. of data processing centre (Result computerization)	14.90	3.95	9.76	16.23	23.97
(xii)	Printing of central material	4.70	0.14	0.00	1.12	0.00
		<b>670.43</b>	<b>644.00</b>	<b>353.72</b>	<b>391.38</b>	<b>384.40</b>

*Munishwar*  
Finance Officer  
H.N.B. Garhwal University,  
Srinagar Garhwal

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Registrar,  
H.N.B. Garhwal University,  
Srinagar (Garhwal)

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## Requirement under B.E. 2023-24 under Recurring Head

(Rs. in Lakhs)

Sl. No	Office Expenditure	RE for 2022-23 conveyed by UGC	Actual Exp. For 2022-23	B.E. for 2023-24 approved by F.C of the University	Requirement under B.E. for 2023-24
1	Office Expenditure				
	(i) Stationary	12.00	15.64	15.00	15.00
	(ii) Postage	22.00	21.93	25.00	25.00
2	Advertisement	50.00	37.54	45.00	45.00
3	Meeting expenses & Hospitality	8.00	12.85	10.00	10.00
4	Remuneration /OTA etc.	5.00	9.71	8.00	8.00
5	Telephone/Internet Connectivity/ EPABX.	35.00	40.21	40.00	40.00
6	Running Expenditure of Vehicles/Insurance etc.	12.00	38.15	35.00	35.00
7	Replacement of Vehicles	5.00	2.05	5.00	5.00
8	T.A./ D.A Expenditure of Employees	60.00	56.48	60.00	60.00
9	Office Equipments & Appliances / Maintenance	10.00	43.41	45.00	45.00
10	Furniture Replacement/Maintenance	0.00	4.72	5.00	5.00
11	Computers/ Peripheral & Electronics items	15.00	9.30	15.00	15.00
12	Wages of Casual Employees	450.00	444.23	500.00	500.00
13	V.C.S. discretion fund	5.00	0.00	5.00	5.00
14	Sports	10.00	58.45	55.00	55.00
15	Convocation exp.	50.00	44.39	50.00	50.00
16	Legal exp.	50.00	45.73	50.00	50.00
17	Other Miscell. Exp./grants, contribution /refund	35.00	22.05	30.00	30.00
18	Academic exhibition/debate/cultural activities/Special Extension Speech/ Seminar/Academic field tour/Conference.	22.00	6.10	15.00	15.00
19	Student welfare	1.00	0.98	1.00	1.00
20	Liveries and Uniform	0.00	0.00	0.00	0.00
21	Running Exp. of Generator	10.00	5.43	10.00	10.00
22	Academic and other miscellaneous Activities of Departments	2.00	1.03	5.00	5.00
23	Academic /Research /Extension and other Activities of University Centre/Cell/Museum etc.	5.00	4.10	5.00	5.00
24	Gardening, Landscaping, Horticulture operation in University Campuses	0.00	30.25	28.00	28.00
25	Maintenance of horticulture research centre chauras	5.00	5.74	5.00	5.00
26	Maintenance of Forest Nursery	5.00	2.89	2.00	2.00
27	University Landscaping	15.00	11.06	12.00	12.00
28	UGC Inonet Lab./ V. SET/Internet Connectivity	50.00	45.04	50.00	50.00
29	Affiliation Related Expenses (TA/ DA etc.)		54.42		0.00
30	Miscellaneous	0.00		0.00	0.00
	(i) Festival Advance, Personal Computer Advance, Motor Car , Motor Cycle Advance ,House Loan .	0.00	0.00	0.00	0.00
	(ii) Registration fee, Membership fee etc.	10.00	1.77	5.00	5.00
31	Running Exp. of University Guest Houses	8.00	6.89	8.00	8.00
32	Audit Fees/expenses	10.00		2.00	2.00
33	Golden Jubli Year			100.00	100.00
34	Purchase of New Vehicle Ambulance	0.00	0.00	100.00	100.00
35	One time grant/grant transfer	0.00	100.00	0.00	0.00
36	General Development Assistance of scheme { Travel Grant, (ii)Conference/ Seminars/Workshops/Symposia /Short term Training Programmers (ii) Publication Grant etc.)	15.00	11.29	54.00	54.00
	<b>Grand Total</b>	<b>992.00</b>	<b>1193.85</b>	<b>1400.00</b>	<b>1400.00</b>

H. N. B. Garhwal University  
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H. N. B. Garhwal University  
Srinagar (Garhwal)

**(Actual expenditure for the year 2018-19, 2019-20, 2020-21, 2021-22 and 2022-23 under Recurring Head**

S.No	Office Expenditure	Actual Expenditure				
		2018-19	2019-20	2020-21	2021-22	2022-23
1	<b>Office Expenditure</b>					
	(i) Stationary	8.92	8.81	12.01	12.00	15.64
	(ii) Postage	12.64	17.51	26.51	22.00	21.93
2	Advertisement	60.08	46.78	20.40	50.00	37.54
3	Meeting expenses & Hospitality	6.94	5.16	1.04	8.00	12.85
4	Remuneration /OTA etc.	2.96	1.61	4.90	5.00	9.71
5	Telephone/Internet Connectivity/ EPABX.	32.31	19.90	27.93	35.00	40.21
6	Running Expenditure of Vehicles/Insurance etc.	20.71	17.75	8.51	12.00	38.15
7	Replacement of Vehicles	0.00	13.58	0.00	5.00	2.05
8	T.A./ D.A Expenditure of Employees	34.75	48.61	68.72	60.00	56.48
9	Office Equipments & Appliances / Maintenance	18.16	16.76	4.33	10.00	43.41
10	Furniture Replacement/Maintenance	15.71	1.33	5.61	0.00	4.72
11	Computers/ Peripheral & Electronics items	13.95	6.41	7.70	15.00	9.30
12	Wages of Casual Employees	304.37	389.02	372.73	450.00	444.23
13	V.C.S. discretion fund	0.34	0.21	0.00	5.00	0.00
14	Sports	56.73	3.47	9.22	10.00	58.45
15	Convocation exp.	20.63	25.19	20.63	50.00	44.39
16	Legal exp.	45.28	18.46	23.77	50.00	45.73
17	Bonus		35.44	0.00		0.00
18	Other Miscell. Exp./grants, contribution /refund	20.15	117.99	29.18	35.00	22.05
19	Academic exhibition/debate/cultural activities/Special Extension Speech/ Seminar/Academic field tour/Conference.	21.71	23.26	4.45	22.00	6.10
20	Student welfare	0.03	0.00	1.69	1.00	0.98
21	Liveries and Uniform	0.00	12.00	0.00	0.00	0.00
22	Running Exp. of Generator	8.64	6.58	3.48	10.00	5.43
23	Academic and other miscellaneous Activities of Departments	26.28	14.76	0.17	2.00	1.03
24	Academic /Research /Extension and other Activities of University Centre/Cell/Museum etc.	3.80	2.93	17.71	5.00	4.10
25	Gardening, Landscaping, Horticulture operation in University Campuses	16.16	0.00	0.00	0.00	30.25
26	Maintenance of horticulture research centre chauras	0.00	4.40	24.70	5.00	5.74
27	Maintenance of Forest Nursery	0.00	0.79	0.16	5.00	2.89
28	University Landscaping	0.00	8.62	5.51	15.00	11.06
29	Affiliation Related Expenses (TA/ DA etc.)					54.42
29	UGC Infonet Lab./ V. SET/Internet Connectivity	15.17	4.85	76.16	50.00	45.04
30	<b>Miscellaneous</b>	0.00	0.00	0.00	40.85	
	(i) Festival Advance, Personal Computer Advance, Motor Car , Motor Cycle Advance ,House Loan ,	0.50	0.00	0.00	0.00	0.00
	(ii) Registration fee, Membership fee etc.	8.05	25.19	1.55	10.00	1.78
31	Running Exp. of University Guest Houses	0.00	0.55	1.89	8.00	6.89
32	Audit Fees/expenses	0.00	0.00	0.26	10.00	0.00
33	Goldeb Jubli	0.00	0.00	0.00	0.00	0.00
34	One time grant/grant transfer	0.00	0.00	136.40	0.00	100.00
35	<b>General Development Assistance of scheme</b>					
	( i Travel Grant, (ii)Conference/ Seminars/Workshops/Symposia /Short term Training Programmers (ii) Publication Grant etc.)	10.91	3.83	4.67	15.00	11.29
	<b>Grand Total</b>	<b>785.88</b>	<b>901.75</b>	<b>921.99</b>	<b>1032.85</b>	<b>1193.85</b>

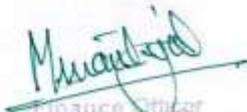
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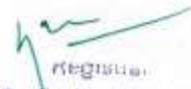
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F.N.B. Garhwal University  
Srinagar Garhwal

**Annexure -D****List of University Hostels**

S.N.	Name of Hostel
1	Chaukhamba Hostel Birla Campus, Srinagar
2	Trishul Hostel Birla Campus, Srinagar
3	Babu Jagjeevan Ram Boys Hostel, Srinagar
4	Aryabhata Boys Hostel, Srinagar
5	Swami Vivekanand Boys Hostel, Srinagar
6	Sridev Suman Boys Hostel, Srinagar
7	Nanda Devi Girls Hostel, Srinagar
8	Alaknanda Girls Hostel Birla, Srinagar
9	Bhagirathi Girls Hostel, Srinagar
10	Yamuna Girls Hostel, Srinagar
11	Ganga Girls Hostel, Srinagar
12	Mandakini Girls Hostel, Chauras Campus
13	Saraswati Girls Hostel, Srinagar
14	Forestry Hostel, Chauras Campus
15	Boys Hostel BGR Campus, Pauri
16	Girls Hostel BGR Campus, Pauri
17	Boys Hostel SRT Campus, Tehri
18	Girls Hostel SRT Campus, Tehri

  
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## Annexure -E

S.No.	Name of School	Department
1	School of Agriculture Allied Sciences	1 Forestry
		2 HAPPRC
		3 Horticulture
		4 Rural Technology
		5 Seed Science
2	School of Science	6 Chemistry
		7 Home Science
		8 Mathematics
		9 Physics
		10 Pharmaceutical Science
		11 Pharmaceutical Chemistry
		12 Statistics
3	School of Commerce	13 Commerce
		14 Secretarial Practice
4	School of Earth Science	15 Defence and Strategic Studies
		16 Geology
		17 Geography
		18 Remote Sensing and GIS
5	School of Education	19 Adult Education
		20 Education
		21 Yoga
		22 Physical Education (B.P.Ed and M.P.Ed)
6	School of Art, Communication	23 Drawing and Painting
		24 Centre for Journalism and Mass Communication
		25 English, Modern European and other Foreign Languages.
		26 Folk and Performing art
		27 Hindi and Modern Indian
		28 Music
		29 Sanskrit
		30 Anthropology
		31 Economics
7	School of Humanities and Social Sciences	32 History including Ancient Indian History, Culture and Archaeology
		33 Philosophy
		34 Political Science
		35 Psychology
		36 Sociology
		37 Law
8	School of Law	38 Botany
9	School of Life Science	39 Environmental Sciences
		40 Zoology
		41 Biotechnology
		42 Microbiology
		43 Himalayan Biodiversity Aquatic
		44 Bio chemistry
		45 Centre for Mountain Tourism and Hospitality
10	School of Management	46 MBA
11	School of Engineering	47 Mechanical Engineering
		48 Information Technology
		49 Instrumentation
		50 Electronics and Communication
		51 Computer Engineering and Computer Science

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Srinagar (Garhwal)



डॉ. मृगांक शेखर शर्मा  
उप सचिव

**Dr. Mriganka Sekhar Sarma**  
Deputy Secretary



सत्यमेव जयते

विश्वविद्यालय अनुदान आयोग  
University Grants Commission

(शिक्षा मंत्रालय, भारत सरकार)  
(Ministry of Education, Govt. of India)

बहादुरशाह ज़फ़र मार्ग, नई दिल्ली-110002  
Bahadur Shah Zafar Marg, New Delhi-110002

दूरभाष Phone : कार्यालय Off : 011-23604438  
e-mail : mssarma.ugc@nic.in | mssarmaugc@gmail.com

Through E-mail Only

F.No. 52-1/2023(CU)

10th May, 2023

The Finance Officer  
H.N.B. Garhwal University  
Srinagar, Garhwal – 246 174  
Uttarakhand

10 MAY 2023

**Subject: Approval of Budget Estimates (BE) for the financial year 2023-2024 under Salary Head in respect of H.N.B. Garhwal University.**

Sir/Madam,

This is to inform you that budget estimates for the Financial Year 2023-24 have been finalized on the basis of the BE/RE and expenditure incurred during the previous years as well as discussions with the officials of the Central Universities during the online meetings held on 17<sup>th</sup> and 18<sup>th</sup> April, 2023. Accordingly, with the approval of the Competent Authority at the UGC, the allocation under Salary Head has been fixed at Rs.12498.21 lakhs for H.N.B. Garhwal University after adjusting the unspent balances available with the University as on 01.04.2023. The details of the Budget Estimates for the year 2023-2024 are as under:-

(Rs. in lakhs)

S. No.	HEAD	B.E. APPROVED BY UGC (2023-24)
1.	Faculty Salary Expenditure for the year 2023-24	7000.00
2.	Non-Faculty Salary Expenditure for the year 2023-24	3503.26
3.	Other Components for the items namely Leave encashment, LTC, Children Education Allowance, Retirement Benefit and Medical Reimbursement	1994.95
4.	<b>Total Budget Estimates for the year 2023-24 ( 1+2+3 )</b>	<b>12498.21</b>
5.	Less : Opening Balance as on 01.04.2023	0.00
6.	<b>UGC Share recommended in B.E. 2023-2024 ( 4-5 )</b>	<b>12498.21</b>

The above allocation of salary grant for the year 2023-2024 is subject to the following conditions:-

- (A) Grant under OH-36 should be utilised only for payment of salary of regular employees against the sanctioned post and retirement benefits of employees and arrears;
- (B) Salary and wages of contractual faculty and non-faculty staff are to be paid from the grant under OH:31 and in no case the grant meant for salary of regular employees under OH:36 is utilized for this purpose.

The university may also take an appropriate action on the following observations:-

- The Internal Receipts (IR) like sale of prospectus, income on conduct of entrance examinations etc. are part of the Internal Receipts. Therefore, all these internal receipts should be taken into account under overall internal receipts and may not be transferred to any other head.
- UGC has been allowing the Central Universities to use the Internal Receipts to cover up the shortfall under Non-salary expenditure (Recurring Head) with the approval of the Commission. However, the Central Universities are advised to make serious efforts to implement the austerity measures to reduce the Recurring expenditure in view of the instructions issued by the Govt /UGC from time to time.
- (a) Payment of pension and pensionary benefits; (b) Salary and TA/DA etc. paid to consultants appointed under a scheme and (c) Salary / wages paid to contractual staff appointed under a scheme etc. shall be met out of funds provided under object head 31 – Grants in aid – General.
- The vacancies available against sanctioned non-teaching positions can be filled up by the university under intimation to UGC, if the teaching to non-teaching ratio is less than 1:1.1 as prescribed by Govt. of India.
- It is advised that university may reduce the staff engaged on contract basis or through outsource agency in order to reduce the expenditure under Recurring head. The university may make the optimum utilization of their resources on engagement of contractual employees.

6. University may incur the expenditure within the allocation as communicated by UGC and the re-appropriation from one head (viz Salary and Recurring Items) to other head is not permissible under any circumstances and expenditure may not exceed the allocation / ceiling under each head.
7. University may fill up the teaching posts as per UGC Regulations on Minimum Qualification for Appointment of Teachers and other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, 2018 and as amended from time to time and make serious efforts to fill up the teaching posts at the earliest.
8. University may strictly follow the University Grants Commission (Minimum Standards and Procedure for award of M.Phil./Ph.D. Degree), Regulations, 2016 and as amended from time to time..
9. University may follow the reservation policy of Govt. of India / UGC for SC/ST/OBC/EWS/PwBD in appointment of teaching and non-teaching staff and for reservation in admissions.
10. University may fill up the backlog vacancies for SC/ST/OBC/PwBD at the earliest to fulfill the statutory requirement of Govt. of India.
11. University may obtain prior approval of UGC for running the courses through Distance Education otherwise running of these courses would be treated as unapproved.
12. University may not book the expenditure under salary head for those posts which were sanctioned under specific scheme i.e. Centre for Women Studies, Academic Staff College, Centre for Social Exclusion and Inclusion Policy etc. unless and until university has obtained the prior approval of UGC for merger of these posts into regular salary head. The salary expenditure of these schemes may be booked under the specific scheme only.
13. University should not create any new posts/pay scales or upgrade at its level without prior approval of UGC/Govt. of India as already communicated to all Central Universities vide UGC letter No.F.31-3/97(CU) dated 10<sup>th</sup> April, 1998.
14. University may maintain a Register of sanctioned posts (teaching and non-teaching) as communicated vide UGC letter No.F.19-15/2001(CU) dated 11<sup>th</sup> December, 2001.
15. University must ensure timely submission of the Audited Annual Accounts and Annual Reports to Ministry and also ensure the observance of the time schedule for preparation of Annual accounts and their submission to audit authorities.
16. The MHRD (now MoE) has clearly articulated the guidelines for financial management in central universities and procedure has been well laid down in the MHRD (now MoE) letter No.F.61-19/2005-Desk (U) dated 3<sup>rd</sup> March, 2016. Therefore, university may ensure that the instructions to maintain financial propriety are strictly followed and no deviation from the procedure be allowed.
17. UGC vide its letter No.13-2/2017 (CU) dated 27<sup>th</sup> May, 2017 has sent a letter to all central universities for adoption of General Financial Rules (GFR) 2017. Therefore, university may strictly follow the General Financial Rules, 2017.
18. The University may take immediate action for its accreditation/re-accreditation by National Assessment & Accreditation Council (NAAC).
19. Universities be advised not to start the courses under School of Education / Faculty of Education / Department of Education (B.Ed, M.Ed. etc) without prior approval of the NCTE. University may start only those courses under School of Education / Faculty of Education / Department of Education which are approved by UGC and not change the nomenclature of these courses on its own.
20. All interests earned against Grants-in-Aid (other than reimbursement) released to university should be mandatorily remitted to UGC account immediately after finalization of accounts. Any interest earned out of Grants in aid should not be treated as additional funds over and above the allocation.
21. University must ensure that the Institution has implemented the EAT Module. UGC has already instructed the instructions in this regard to all Central Universities vide UGC letter No.F.17-1/2015 (FD-II) dated 26<sup>th</sup> June, 2018 and subsequently vide UGC letter No.F.9-4/2018 (CU) dated 13<sup>th</sup> July, 2018. Further, University shall send the EAT report (downloaded from PFMS portal) of their expenditure of grants received from UGC different scheme along with Utilization Certificate duly signed by the Head of the Institution.
22. **Grantee Institutions of the UGC shall ensure that all payments of approved items to the beneficiary vendors shall be made only through the TSA module of PFMS and assignments received from the UGC through TSA module have to be utilized before 31<sup>st</sup> March of the year. Balance remaining unutilized at the close of the year (31<sup>st</sup> March) will lapse to the Government and hence return back in Government Account.**
23. MoE vide its letter No. F.20-1/2019-CU.Cdn dated 16<sup>th</sup> June, 2020 communicated to all Central Universities that now the powers to create teaching and non-teaching posts have not been delegated to either MoE or the UGC. Therefore, University may send the proposal for creation of any teaching or non-teaching posts for seeking approval of Ministry of Finance through Ministry of Education.
24. It has been observed that some of the central universities have hired more persons through outsourcing than those positions approved by UGC. All the Central Universities are informed that the number of persons appointed against sanctioned number of outsourced positions should not be in excess against the number of positions approved by UGC. The expenditure on manpower through outsourcing in excess of positions sanctioned by UGC will be treated as unapproved expenditure. If there is any genuine requirement to appoint more persons on outsource basis, University may approach the UGC for prior sanction of more positions, giving full justification.

Yours faithfully,



(Mriganka Sekhar Sarma)

**Copy to:-**

The Registrar  
H.N.B. Garhwal University  
Srinagar, Garhwal – 246 174  
Uttarakhand

F.No.1-1/2023(CU)



(Mriganka Sekhar Sarma)



एन.एन.टी. विमुक्तये

डॉ. मृगांक शेखर शर्मा  
उप सचिव

**Dr. Mriganka Sekhar Sarma**  
Deputy Secretary



सत्यमेव जयते

विश्वविद्यालय अनुदान आयोग  
**University Grants Commission**

(शिक्षा मंत्रालय, भारत सरकार)  
(Ministry of Education, Govt. of India)

बहादुरशाह ज़फ़र मार्ग, नई दिल्ली-110002  
Bahadur Shah Zafar Marg, New Delhi-110002

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e-mail : mssarma.ugc@nic.in | mssarmaugc@gmail.com

Through E-mail Only

F.No. 52-2/2023(CU)

10th May, 2023

The Finance Officer  
H.N.B. Garhwal University  
Srinagar, Garhwal – 246 174  
Uttarakhand

10 MAY 2023

**Subject : Approval of Budget Estimates (BE) for the financial year 2023-2024 under Recurring Head in respect of H.N.B. Garhwal University.**

Sir/Madam,

This is to inform you that budget estimates for the Financial Year 2023-24 have been finalized on the basis of the BE/RE and expenditure incurred during the previous years as well as discussions with the officials of the Central Universities during the online meetings held on 17<sup>th</sup> and 18<sup>th</sup> April, 2023. Accordingly, with the approval of the Competent Authority at the UGC, the allocation under Recurring Head has been fixed at **Rs.4274.40 lakhs** for **H.N.B. Garhwal University** after adjusting the unspent balance available with the University as on 01.04.2023. The details of the Budget Estimates for the year 2023-2024 are as under:-

(Rs. in lakhs)

S. No.	HEAD	B.E. APPROVED BY UGC (2023-24)
1.	Pension for the year 2023-24 including Pensionary Benefits namely Contribution to Pension Fund and New Pension Scheme.	3100.00
2.	Non-Salary Items for the year 2023-24 *	700.00
3.	Non-NET Fellowships for the year 2023-24.	350.00
4.	<b>Total Budget Estimates for the year 2023-24 ( 1+2+3 )</b>	<b>4150.00</b>
5.	Less : Opening Balance as on 01.04.2023	-124.40
6.	<b>UGC Share recommended in B.E. 2023-2024 ( 4-5 )</b>	<b>4274.40</b>

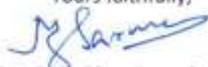
- Note: This includes provision of an amount of Rs.53.40 lakh for the scheme namely (i) Travel Grant, (ii) Conference/Seminars/Workshops/Symposia/Short Term Training Programmes, (iii) Publication Grant, (iv) Visiting Professors/Visiting Fellows, (v) Day Care Centre, (vi) Basic Facilities for Women, (vii) Faculty Development Programme (FDP) and (viii) Establishment of Career and Counseling Cell in Universities. The expenditure on each schemes may be incurred strictly as per XII Plan Guidelines of General Development Assistance of Scheme

The university may also take an appropriate action on the following observations:-

- The Internal Receipts (IR) like sale of prospectus, income on conduct of entrance examinations etc. are part of the Internal Receipts. Therefore, all these internal receipts should be taken into account under overall internal receipts and may not be transferred to any other head.
- UGC has been allowing the Central Universities to use the Internal Receipts to cover up the shortfall under Non-salary expenditure (Recurring Head) with the approval of the Commission. **However, the Central Universities are advised to make serious efforts to implement the austerity measures to reduce the Recurring expenditure in view of the instructions issued by the GoI /UGC from time to time.**
- (a) Payment of pension and pensionary benefits; (b) Salary and TA/DA etc. paid to consultants appointed under a scheme and (c) Salary / wages paid to contractual staff appointed under a scheme etc. shall be met out of funds provided under object head 31 – Grants in aid – General.
- The vacancies available against sanctioned non-teaching positions can be filled up by the university under intimation to UGC, if the teaching to non-teaching ratio is less than 1:1.1 as prescribed by Govt. of India.
- It is advised that university may reduce the staff engaged on contract basis or through outsource agency in order to reduce the expenditure under Recurring head. The university may make the optimum utilization of their resources on engagement of contractual employees.

6. University may incur the expenditure within the allocation as communicated by UGC and the re-appropriation from one head (viz Salary and Recurring items) to other head is not permissible under any circumstances and expenditure may not exceed the allocation / ceiling under each head.
7. University may fill up the teaching posts as per UGC Regulations on Minimum Qualification for Appointment of Teachers and other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, 2018 and as amended from time to time and make serious efforts to fill up the teaching posts at the earliest.
8. University may strictly follow the University Grants Commission (Minimum Standards and Procedure for award of M.Phil./Ph.D. Degree), Regulations, 2016 and as amended from time to time.
9. University may follow the reservation policy of Govt. of India / UGC for SC/ST/OBC/EWS/PwBD in appointment of teaching and non-teaching staff and for reservation in admissions.
10. University may fill up the backlog vacancies for SC/ST/OBC/PwBD at the earliest to fulfill the statutory requirement of Govt. of India.
11. University may obtain prior approval of UGC for running the courses through Distance Education otherwise running of these courses would be treated as unapproved.
12. University may not book the expenditure under salary head for those posts which were sanctioned under specific scheme i.e. Centre for Women Studies, Academic Staff College, Centre for Social Exclusion and Inclusion Policy etc. unless and until university has obtained the prior approval of UGC for merger of these posts into regular salary head. The salary expenditure of these schemes may be booked under the specific scheme only.
13. University should not create any new posts/pay scales or upgrade at its level without prior approval of UGC/Govt. of India as already communicated to all Central Universities vide UGC letter No.F.31-3/97(CU) dated 10<sup>th</sup> April, 1998.
14. University may maintain a Register of sanctioned posts (teaching and non-teaching) as communicated vide UGC letter No.F.19-15/2001(CU) dated 11<sup>th</sup> December, 2001.
15. University must ensure timely submission of the Audited Annual Accounts and Annual Reports to Ministry and also ensure the observance of the time schedule for preparation of Annual accounts and their submission to audit authorities.
16. The MHRD (now MoE) has clearly articulated the guidelines for financial management in central universities and procedure has been well laid down in the MHRD (now MoE) letter No.F.61-19/2005-Desk (U) dated 3<sup>rd</sup> March, 2016. Therefore, university may ensure that the instructions to maintain financial propriety are strictly followed and no deviation from the procedure be allowed.
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Yours faithfully,

  
(Mriganka Sekhar Sarma)

**Copy to:-**

The Registrar  
H.N.B. Garhwal University  
Srinagar, Garhwal – 246 174  
Uttarakhand

F.No.1-2/2023(CU)

  
(Mriganka Sekhar Sarma)



डॉ. मृगांक शेखर शर्मा  
उप सचिव

**Dr. Mriganka Sekhar Sarma**  
Deputy Secretary



विश्वविद्यालय अनुदान आयोग  
**University Grants Commission**

(शिक्षा विभाग, भारत सरकार)  
(Ministry of Education, Govt. of India)

बहादुरशाह ज़फ़र मार्ग, नई दिल्ली-110002  
Bahadur Shah Zafar Marg, New Delhi-110002

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e-mail : mssarma.ugc@nic.in | mssarmaugc@gmail.com

D.O. No. F.1-3/2023 (CU)

01 JUN 2023 June, 2023

Subject:- Annual Allocation under Capital Assets-35 for the year 2023-24-regarding.

Dear Sir,

In order to finalise the Annual Allocation under Capital Assets Head for the year 2023-24, UGC constituted a Committee to assess the financial requirements of various central universities under Capital Assets for the financial year 2023-24. Based on the recommendations of the Committee and subsequent approval by the Competent Authority at the UGC, I am directed to convey the allocation under Capital Assets Head for the year 2023-24, subject to release of funds by the Ministry of Education, in respect of **Hemwati Nandan Bahuguna Garhwal University** as per details below:-

(Rs. in lakhs)

S. No.	Name of the Item	Annual Allocation for the year 2023-24 under Capital Assets, approved by UGC
1	Books / Journals	100.00
2	ICT enabled infrastructure for online learning and content development and procurement of e-resource (perpetual)	175.00
3	Small Equipment/laboratories	125.00
4	Campus Development	100.00
5	Others infrastructure including furniture & fixture	100.00
	<b>Total</b>	<b>600.00</b>

The University should take the following points into account while utilizing the grants:

1. In the present scenario, online journals are available. Hence, the university may utilize the facilities/journals made available by the INFLIBNET/ National Digital Library.
2. University should not undertake the work/projects i.e. approach road, water pipeline, electric sub-station etc. which are the responsibility of the State Government. In this regard, university may take up the matter with State Government/Central Government/UGC, as the case may be.
3. UGC vide its letter No.F.13-2/2017 (CU) dated 27th May, 2017 requested all central universities for the adoption of General Financial Rules (GFR) 2017. Therefore, university should follow the General Financial Rules, 2017 as well as instructions of GFR 130 to 141 pertaining to the execution of the works.

*[Signature]*

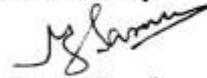
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4. The Central Vigilance Commission (CVC) vide its letter No.011/VGL/014 dated 11<sup>th</sup> February, 2011 has circulated the instructions on "Transparency in Tendering System" and No.01-11-CTE-SH-100 dated 17.02.2011 regarding "Mobilization - Advance". Therefore, the construction work should strictly be as per General Financial Rules, 2017 and CVC instructions issued from time to time.
5. The MHRD (now MoE) has clearly articulated the guidelines for financial management in Central Universities and the procedure has been well laid down in the MHRD letter No.F.61-19/2005-Desk (U) dated 3<sup>rd</sup> March, 2016. Therefore, university may ensure that the instructions to maintain financial propriety are strictly followed and no deviation from the procedure is allowed.
6. University shall have the flexibility to reallocate grants from one sub-head to another within the Capital Assets 35 Head and within the total allocation. This reallocation should not exceed 25% (per sub-head). However, if the amount exceeds 25%, the University will have to seek prior approval from UGC. But in all cases the University will inform UGC about the reallocation of grants.

I would also like to request you to ensure proper and timely utilization of the grants for smooth management of funds, to avoid unnecessary audit objections and pull back by RBI (TSA). The release of grant would depend on the pace of expenditure by the University and timely submission of the utilization certificate/statement of expenditure.

With warm regards,

Yours sincerely,

  
(Mriganka Sekhar Sarma)

**The Registrar,**  
Hemwati Nandan Bahuguna Garhwal University  
Srinagar  
Garhwal 246174  
Uttarakhand

**Copy to:**

**The Finance Officer,**  
Hemwati Nandan Bahuguna Garhwal University  
Srinagar  
Garhwal 246174  
Uttarakhand

F.No.52-3/2023(CU)

  
(Mriganka Sekhar Sarma)

**UNIVERSITY GRANTS COMMISSION  
NOTIFICATION**

New Delhi, the 18th July, 2018

**UGC REGULATIONS ON MINIMUM QUALIFICATIONS FOR APPOINTMENT OF TEACHERS AND OTHER ACADEMIC STAFF IN UNIVERSITIES AND COLLEGES AND MEASURES FOR THE MAINTENANCE OF STANDARDS IN HIGHER EDUCATION, 2018**

**No. F.1-2/2017(EC/PS).**—In exercise of the powers conferred under clause (e) and (g) of sub-section(I) of Section 26 read with Section 14 of the University Grants Commission Act, 1956 (3 of 1956), and in supersession of the "UGC Regulations on Minimum qualifications for Appointment of Teachers and other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education 2010" (Regulation No.F.3-1/2009 dated 30<sup>th</sup> June, 2010) together with all amendments made therein from time to time, the University Grants Commission, hereby, frames the following Regulations, namely:-

**1. Short title, application and commencement:**

- 1.1 These Regulations may be called the University Grants Commission (Minimum Qualifications for Appointment of Teachers and other Academic Staff in Universities and Colleges and other Measures for the Maintenance of Standards in Higher Education) Regulations, 2018.
- 1.2 These shall apply to every University established or incorporated by or under a Central Act, Provincial Act or a State Act, every Institution including a Constituent or an affiliated College recognized by the Commission, in consultation with the University concerned under Clause (i) of Section 2 of the University Grants Commission Act, 1956 and every Institution deemed to be a University under Section 3 of the said Act.
- 1.3 These shall come into force from the date of notification.
2. The Minimum Qualifications for appointment and other service conditions of University and College teachers, Librarians, and Directors of Physical Education and Sports as a measure for the maintenance of standards in higher education, shall be as provided in the Annexure to these Regulations.
3. If any University contravenes the provisions of these Regulations, the Commission after taking into consideration the cause, if any, shown by the University for such failure or contravention, may withhold from the University, the grants proposed to be made out of the Fund of the Commission.

**UGC REGULATIONS ON MINIMUM QUALIFICATIONS FOR APPOINTMENT OF TEACHERS AND OTHER ACADEMIC STAFF IN UNIVERSITIES AND COLLEGES AND OTHER MEASURES FOR THE MAINTENANCE OF STANDARDS IN HIGHER EDUCATION, 2018**

**Minimum qualifications for the posts of Senior Professor, Professors and Teachers, and other Academic Staff in Universities and Colleges and revision of pay scales and other Service Conditions pertaining to such posts.**

**1.0 Coverage**

These Regulations are issued for minimum qualifications for appointment and other service conditions of University and College teachers and cadres of Librarians, Directors of Physical Education and Sports for maintenance of standards in higher education and revision of pay-scales.

- 1.1 For the purposes of direct recruitment to teaching posts in disciplines relating to university and collegiate education, interalia in the fields of health, medicine, special education, agriculture, veterinary and allied fields, technical education, teacher education, norms or standards laid down by authorities established by the relevant Act of Parliament under article 246 of the Constitution for the purpose of co-ordination and determination of standards in institutions for higher education or research and scientific and technical institutions, shall prevail
  - i. Provided that where no such norms and standards have been laid down by any regulatory authority, UGC Regulations herein shall be applicable till such time as any norms or standards are prescribed by the appropriate regulatory authority.
  - ii. Provided further that for appointment to the post of Assistant Professor and equivalent positions pertaining to disciplines in which the National Eligibility Test (NET), conducted by the University Grants Commission or Council of Scientific and Industrial Research as the case may be, or State level

Eligibility Test (SLET) or the State Eligibility Test (SET), conducted by bodies accredited by the UGC for the said purpose, qualifying in NET/SLET/SET shall be an additional requirement.

- 1.2 Every university or institution deemed to be University, as the case may be, shall as soon as may be, but not later than within six months of the coming into force of these Regulations, take effective steps for the amendment of the statutes, ordinances or other statutory provisions governing it, so as to bring the same in accordance with these Regulations.

**2.0 Pay Scales, Pay Fixation, and Age of Superannuation**

**Pay scales as notified by the Government of India from time to time will be adopted by the University Grants Commission.**

- 2.1 Subject to the availability of vacant positions and fitness, teachers such as Assistant Professor, Associate Professor, Professor and Senior Professor only, may be re-employed on contract appointment beyond the age of superannuation, as applicable to the concerned University, college and Institution, up to the age of seventy years.

*Provided* further that all such re-employment shall be strictly in accordance with the guidelines prescribed by the UGC, from time to time.

- 2.2 **The date of implementation of the revision of pay shall be 1<sup>st</sup> January, 2016.**

**3.0 Recruitment and Qualifications**

- 3.1 The direct recruitment to the posts of Assistant Professor, Associate Professor and Professor in the Universities and Colleges, and Senior Professor in the Universities, shall be on the basis of merit through an all-India advertisement, followed by selection by a duly-constituted Selection Committee as per the provisions made under these Regulations. These provisions shall be incorporated in the Statutes/Ordinances of the university concerned. The composition of such a committee shall be as specified in these Regulations.

- 3.2 The minimum qualifications required for the post of Assistant Professor, Associate Professor, Professor, Senior Professor, Principal, Assistant Librarian, Deputy Librarian, Librarian, Assistant Director of Physical Education and Sports, Deputy Director of Physical Education and Sports and Director of Physical Education and Sports, shall be as specified by the UGC in these Regulations.

**3.3**

- I. The National Eligibility Test (NET) or an accredited test (State Level Eligibility Test SLET/SET) shall remain the minimum eligibility for appointment of Assistant Professor and equivalent positions wherever provided in these Regulations. Further, SLET/SET shall be valid as the minimum eligibility for direct recruitment to Universities/Colleges/Institutions in the respective state only:

*Provided* that candidates who have been awarded a Ph.D. Degree in accordance with the University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulation, 2009, or the University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulation, 2016, and their subsequent amendments from time to time, as the case may be, shall be exempted from the requirement of the minimum eligibility condition of NET/SLET/SET for recruitment and appointment of Assistant Professor or any equivalent position in any University, College or Institution.

*Provided* further that the award of degree to candidates registered for the M.Phil/Ph.D. programme prior to July 11, 2009, shall be governed by the provisions of the then existing Ordinances / Bye-laws / Regulations of the Institutions awarding the degree. All such Ph.D. candidates shall be exempted from the requirement of NET/SLET/SET for recruitment and appointment of Assistant Professor or equivalent positions in Universities/Colleges/Institutions subject to the fulfillment of the following conditions:

- a) The Ph.D. degree of the candidate has been awarded in regular mode only;
- b) The Ph.D. thesis has been awarded by at least two external examiners;
- c) An open Ph.D. viva voce of the candidate has been conducted;
- d) The candidate has published two research papers from his/her Ph.D. work out of which at least one is in a refereed journal;
- e) The candidate has presented at least two papers, based on his/her Ph.D. work in conferences/seminars sponsored/funded/supported by the UGC/ ICSSR/CSIR or any similar agency.

2. In case where only a portion of the leave is spent outside India, the grant of leave in excess of 120 days shall be subject to the condition that the portion of the leave spent in India shall not, in the aggregate, exceed 120 days.
3. Encashment of earned leave shall be allowed to members of the teaching staff as applicable to the employees of the Central Government or State Government.

#### IV. Half-pay Leave

Half-pay leave may be sanctioned for a period of 20 days to a permanent teacher for each completed year of service. Such leave may be granted on the basis of a medical certificate from a registered medical practitioner, for any private affairs or for any academic purpose.

##### *Explanation:*

A "completed year of service" means the continuous service of a specified duration under the university, and includes the periods of absence from duty as well as leave, including the extraordinary leave.

Note : Half-pay leave shall be combined with earned leave for calculating the number of earned leaves in case the number of earned leaves are less than 300 for purpose of encashment of leave at the time of superannuation as applicable to the employees of Government of India/State Government.

#### V. Commuted Leave

Commuted leave, not exceeding half the amount of half-pay leave due, may be granted to a permanent teacher on the basis of medical certificate from a registered medical practitioner subject to the following conditions:

- (i) Commuted leave during the entire service shall be limited to a maximum of 240 days;
- (ii) When commuted leave is granted, twice the amount of such leave shall be debited against the half-pay leave account; and
- (iii) The total duration of earned leave and commuted leave taken in conjunction shall not exceed 240 days, at a time;

*Provided* that no commuted leave shall be granted under these Regulations, unless the authority competent to sanction leave has reason to believe that the teacher would return to duty on its expiry.

#### VI. Extraordinary Leave

- (i) A permanent teacher may be granted extraordinary leave when:
  - (a) No other leave is admissible; or
  - (b) Other leave is admissible and the teacher applies in writing for the grant of extraordinary leave.
- (ii) The extraordinary leave shall always be without pay and allowances. It shall not count for an increment except in the following cases:
  - (a) Leave taken on the basis of medical certificates;
  - (b) Cases where the Vice-Chancellor/Principal is satisfied that the leave was taken due to causes beyond the control of the teacher, such as inability to join or rejoin duty due to civil commotion or a natural calamity, and the teacher has no other kind of leave to his credit;
  - (c) Leave taken for pursuing higher studies; and
  - (d) Leave granted to accept an invitation to a teaching post or fellowship or research-cum-teaching post or on assignment for technical or academic work of importance.
- (iii) Extraordinary leave may be combined with any other leave except the casual leave and special casual leave, provided that the total period of continuous absence from duty on leave (including periods of vacation when such vacation is taken in conjunction with leave) shall not exceed three years, except in cases where the leave is taken on medical certificate. The total period of absence from duty shall in no case, exceed five years in the entire service period of the individual.
- (iv) The authority empowered to grant leave may commute retrospectively the periods of absence without the leave into extraordinary leave.

**8.4 Other Kinds of Leave Rules for Permanent Teachers of the Universities / Colleges**

The following kinds of leave would be admissible to permanent teachers:

- (i) Leave treated as duty, viz. casual leave, special casual leave, and duty leave;
  - (ii) Leave earned by duty, viz. earned leave, half-pay leave, and commuted leave;
  - (iii) Leave not earned by duty, viz. extraordinary leave; and leave not due;
  - (iv) Leave not debited to leave account
  - (v) Leave for academic pursuits, viz. study leave, sabbatical leave and academic leave;
  - (vi) Leave on grounds of health, viz., maternity leave and quarantine leave.
- (b) The Executive Council/Syndicate may grant, *in exceptional cases*, for the reasons to be recorded, any other kind of leave, subject to such terms and conditions as it may deem fit to impose.

**I. Casual Leave**

- (i) The total casual leave granted to a teacher shall not exceed eight days in an academic year.
- (ii) Casual leave cannot be combined with any other kind of leave except special casual leave. However, such casual leave may be combined with holidays including Sundays. Holidays or Sundays falling within the period of casual leave shall not be counted as casual leave.

**II. Special Casual Leave**

- (i) Special casual leave, not exceeding 10 days in an academic year, may be granted to a teacher:
  - (a) To conduct examination of a university/Public Service Commission/Board of Examination or any other similar body/institution; and
  - (b) To inspect academic institutions attached to a statutory board.
- (ii) In computing the 10 days' leave admissible, the days of actual journey, if any, to and from the places where activities specified above, take place, will be excluded.
- (iii) In addition, special casual leave to the extent mentioned below, may also be granted;
  - (a) To undergo sterilization operation (vasectomy or salpingectomy) under family welfare programme. Leave in this case shall be restricted to six working days; and
  - (b) To a female teacher who undergoes non-puerperal sterilization. Leave in this case shall be restricted to 14 days.
- (iv) The special casual leave shall not accumulate, nor can it be combined with any other kind of leave except the casual leave. It may be granted in combination with holidays or vacation by the sanctioning authority on each occasion.

**III. Earned Leave**

- (i) Earned leave admissible to a teacher shall be:
  - (a) 1/30th of the actual service, including vacation; *plus*
  - (b) 1/3rd of the period, if any, during which he/she is required to perform duty during the vacation.

For purposes of computation of the period of actual service, all periods' of leave except casual, special casual, and duty leave, shall be excluded.

- (ii) Earned leave at the credit of a teacher shall not accumulate beyond 300 days. The maximum period of earned leave that may be sanctioned at a time shall not exceed 60 days. Earned leave exceeding 60 days may, however, be sanctioned in the case of higher study, or training, or leave with medical certificate, or when the entire leave, or a portion thereof, is spent outside India.

For removal of doubt, it may be clarified :

- 1. When a teacher combines vacation with earned leave, the period of vacation shall be reckoned as leave in calculating the maximum amount of leave on average pay which may be included in the particular period of leave.

॥ Δ

**UGC REGULATIONS  
ON MINIMUM QUALIFICATIONS  
FOR APPOINTMENT OF TEACHERS AND OTHER ACADEMIC STAFF IN UNIVERSITIES  
AND COLLEGES AND MEASURES FOR THE MAINTENANCE OF STANDARDS IN  
HIGHER EDUCATION**

2010

*To be published in the Gazette of India  
Part III Sector 4*

**University Grants Commission  
Bahadur Shah Zafar Marg  
New Delhi-110002.**

No.F.3-1/2009

30 June, 2010

In exercise of the powers conferred under clause (e) and (g) of sub-section (1) of Section 26 of University Grants Commission Act, 1956 (3 of 1956), and in pursuance of the MHRD O.M.No.F.23-7/2008-IFD dated 23rd October, 2008, read with Ministry of Finance (Department of Expenditure) O.M.No.F.1-1/2008-IC dated 30th August, 2008, and in terms of the MHRD Notification No.1-32/2006-U.II/U.I(1) issued on 31st December, 2008 and in supersession of the University Grants Commission (Minimum Qualifications Required for the Appointment and Career Advancement of Teachers in Universities and Institutions affiliated to it) Regulations, 2000, issued by University Grants Commission *vide* Regulation No. F.3-1/2000 (PS) dated 4<sup>th</sup> April, 2000, together with all amendments made therein from time to time, the University Grants Commission hereby frames the following Regulations, namely:-

**1. Short title, application and commencement:**

- 1.1. These Regulations may be called the University Grants Commission (Minimum Qualifications for Appointment of Teachers and other Academic Staff in Universities and Colleges and other Measures for the Maintenance of Standards in Higher Education) Regulations, 2010.
- 1.2. They shall apply to every university established or incorporated by or under a Central Act, Provincial Act or a State Act, every institution including a constituent or an affiliated college recognized by the Commission, in consultation with the university concerned under Clause (f) of Section 2 of the University Grants Commission Act, 1956 and every institution deemed to be a university under Section 3 of the said Act.
- 1.3. They shall come into force with immediate effect.

*Provided* that in the event, any candidate becomes eligible for promotion under Career Advancement Scheme in terms of these Regulations on or after 31<sup>st</sup> December, 2008, the promotion of such a candidate shall be governed by the provisions of these Regulations.

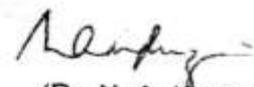
*Provided further* that notwithstanding anything contained in these Regulations, in the event any candidate became eligible for promotion under Career Advancement Scheme

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prior to 31<sup>st</sup> December, 2008, the promotion of such a candidate under Career Advancement Scheme shall be governed by the University Grants Commission (Minimum Qualifications Required for the Appointment and Career Advancement of Teachers in Universities and institutions affiliated to it) Regulations, 2000 notified vide Notification No. F.3-1/2000 (PS) dated 4 April, 2000, as amended from time to time read with notifications and guidelines issued by the University Grants Commission (UGC) from time to time, in this regard.

2. The Minimum Qualifications for appointment and other service conditions of University and College teachers, Librarians and Directors of Physical Education and Sports as a measure for the maintenance of standards in higher education, shall be as provided in the Annexure to these Regulations.
3. **Consequences of failure of the Universities to comply with the recommendations of the Commission, as provision of Section 14 of the University Grants Commission Act, 1956:**

If any University grants affiliation in respect of any course of study to any college referred to in sub-section (5) of Section 12-A in contravention of the provisions of the sub-section, or fails within a reasonable time to comply with any recommendations made by the Commission under Section 12 or Section 13, or contravenes the provisions of any rule made under clause (f) or sub-section (2) of Section 25 or of any regulations made under clause (e) or clause (f) or clause (g) of Sub-Section (1) of Section 26, the Commission after taking into consideration the cause, if any, shown by the University for such failure or contravention, may withhold from the university the grants proposed to be made out of the fund of the Commission.

  
(Dr. N. A. Kazim  
Secretary

To  
The Assistant Controller  
Publication Division  
Government of India  
Urban Development Ministry  
Civil Lines  
Delhi -110054

- (b) 1/3rd of the period, if any, during which he/she is required to perform duty during vacation.

For purposes of computation of period of actual service, all periods of leave except casual, special casual and duty leave shall be excluded.

- (ii) Earned leave at the credit of a teacher shall not accumulate beyond 300 days. The maximum earned leave that may be sanctioned at a time shall not exceed 60 days. Earned leave exceeding 60 days may, however, be sanctioned in the case of higher study, or training, or leave with medical certificate, or when the entire leave, or a portion thereof, is spent outside India.

For avoidance of doubt, it may be noted:

1. When a teacher combines vacation with earned leave, the period of vacation shall be reckoned as leave in calculating the maximum amount of leave on average pay which may be included in the particular period of leave..
2. In case where only a portion of the leave is spent outside India, the grant of leave in excess of 120 days shall be subject to the condition that the portion of the leave spent in India shall not in the aggregate exceed 120 days.
3. Encashment of earned leave shall be allowed to non-vacation members of the teaching staff as applicable to the employees of Central/State Governments.

#### **8.4.4 Half-pay Leave**

Half-pay leave admissible to a permanent teacher shall be 20 days for each completed year of service. Such leave may be granted on the basis of medical certificate from a registered medical practitioner, for private affairs or for academic purposes.

*Explanation:*

A "completed year of service" means continuous service of specified duration under the university and includes periods of absence from duty as well as leave including extraordinary leave.

#### **8.4.5 Commuted Leave**

Commutated leave, not exceeding half the amount of half pay leave due, may be granted on the basis of medical certificate from a registered medical practitioner to a permanent teacher subject to the following conditions:

- (i) Commuted leave during the entire service shall be limited to a maximum of 240 days;
- (ii) When commuted leave is granted, twice the amount of such leave shall be debited against the half-pay leave due; and

- (b) The Executive Council/Syndicate may grant, in exceptional cases, for the reasons to be recorded, any other kinds of leave, subject to such terms and conditions as it may deem fit to impose.

#### **8.4.1 Casual Leave**

- (i) Total casual leave granted to a teacher shall not exceed 8 days in an academic year.
- (ii) Casual leave cannot be combined with any other kind of leave except special casual leave. However, such casual leave may be combined with holidays including Sundays. Holidays or Sundays falling within the period of casual leave shall not be counted as casual leave.

#### **8.4.2 Special Casual Leave**

- (i) Special casual leave, not exceeding 10 days in an academic year, may be granted to a teacher:
- (a) To conduct examination of a university/Public Service Commission/board of examination or other similar bodies/institutions; and
- (b) To inspect academic institutions attached to a statutory board, etc.
- (ii) In computing the 10 days' leave admissible, the days of actual journey, if any, to and from the places where activities specified above, take place, will be excluded.
- (iii) In addition, special casual leave to the extent mentioned below, may also be granted;
- (a) To undergo sterilization operation (vasectomy or salpingectomy) under family welfare programme. Leave in this case will be restricted to 6 working days; and
- (b) To a female teacher who undergoes non-puerperal sterilization. Leave in this case will be restricted to 14 days.
- (iv) Special casual leave cannot be accumulated, nor can it be combined with any other kind of leave except casual leave. It may be granted in combination with holidays or vacation by the sanctioning authority on each occasion;

#### **8.4.3 Earned Leave**

- (i) Earned leave admissible to a teacher shall be:
- (a) 1/30th of actual service including vacation; *plus*

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विश्वविद्यालय अनुदान आयोग  
University Grants Commission  
शिक्षा मंत्रालय, भारत सरकार  
Ministry of Education (Govt. of India)  
बहादुरशाह जफर मार्ग, नई दिल्ली - 110 002  
BahadurshahZafarMarg, New Delhi-110002  
Phone : 011-23406327

By E-mail



मिसिल स.52-9/2022(केंद्रीय विश्वविद्यालय)Vol.1

फरवरी, 2023

कुलसचिव,  
हेमवती नंदन बहुगुणा गढ़वाल विश्वविद्यालय  
श्रीनगर, गढ़वाल  
उत्तराखण्ड - 246 174.

23 FEB 2023

विषय: शिक्षकों को सेवानिवृत्त पश्चात् अवशेष अर्जित अवकाश के नगदीकरण दिये जाने के संबंध में।  
महोदय,

आपके पत्रांक सं. : हे.न.व.ग.वि.वि./प्रशासन (शैक्षणिक)/2022/1075 दिनांक 09/12/2022 के संबंध में है, मुझे यह कहने का निर्देश हुआ है कि केन्द्रीय विश्वविद्यालय के शिक्षक सेवानिवृत्त होने पर या सेवाकाल में देहान्त होने पर अवकाश नगदीकरण के लिए (सामान्य नियमों के अनुरूप) पात्र हैं।

भवदीया,  
  
(वीना मेनन)  
अवर सचिव



11-C  
हेमवती नन्दन बहुगुणा गढ़वाल विश्वविद्यालय  
Hemvati Nandan Bahuguna Garhwal University  
श्रीनगर गढ़वाल (उत्तराखण्ड)-246174  
Srinagar Garhwal (Uttarakhand) - 246174  
(केन्द्रीय विश्वविद्यालय)  
(A Central University)



पत्रांक : हे.न.ब.ग.वि.वि./प्रशासन (शैक्षिक)/2023/1558  
सेवा में,

दिनांक : 20/03/2023

सुश्री धीना मेनन,  
अवर सचिव,  
विश्वविद्यालय अनुदान आयोग  
बहादुरशाह जफर मार्ग, नई दिल्ली 110002

विषय - शिक्षकों को सेवानिवृत्त पश्चात् अवशेष अर्जित अवकाश के नगदीकरण दिये जाने के सम्बन्ध में।

संदर्भ - मिसिल स.52-9/2022(केन्द्रीय विश्वविद्यालय)Vol.I दिनांक 23.02.2023।

महोदय,

कृपया उपरोक्त विषय संदर्भित आपके पत्र का संदर्भ ग्रहण करने का कष्ट करें जिसके द्वारा केन्द्रीय विश्वविद्यालय के शिक्षक सेवानिवृत्ति होने पर या सेवाकाल में देहान्त होने पर अवकाश नगदीकरण के लिए (सामान्य नियमों के अनुरूप) पात्रता हेतु अवगत कराया गया है।

उक्त के संदर्भ में अवगत कराना है कि हेमवती नन्दन बहुगुणा गढ़वाल विश्वविद्यालय दिनांक 15 जनवरी, 2009 को राज्य विश्वविद्यालय से केन्द्रीय विश्वविद्यालय में परिवर्तित हुआ है एवं आतिथि तक शिक्षकों को अवकाश नगदीकरण पात्रता के सम्बन्ध में दिशा निर्देश उपलब्ध न होने के कारण तत्सम्बन्धी भुगतान नहीं किये गये हैं। सूच्य है कि उक्त अवधि में दीर्घ संख्या में विश्वविद्यालय के शिक्षक सेवानिवृत्त हुये हैं जिनके द्वारा निरंतरता में अवकाश नगदीकरण भुगतान की मांग की जा रही है।

अतः उक्त के आलोक में अनुरोध है कि विश्वविद्यालय से सेवानिवृत्त शिक्षकों को अवकाश नगदीकरण भुगतान हेतु प्रभावी तिथि से अवगत कराने का कष्ट करेंगे जिससे स्पष्ट होगा कि विश्वविद्यालय अनुदान आयोग के उक्त संदर्भित पत्र द्वारा अवकाश नगदीकरण पात्रता किस तिथि से/उपरान्त सेवानिवृत्त शिक्षकों हेतु लागू होगी।

सादर।

कुलसचिव

प्रतिलिपि-

1. प्रति कुलपति महोदय के सादर सूचनार्थ प्रेषित।
2. निजी सचिव कुलपति, मा. कुलपति महोदय के सादर सूचनार्थ प्रेषित।
3. वित्त अधिकारी।
4. गार्ड फाईल।

20.03.2023  
कुलसचिव

F.N.- Teaching/Letter P-89



विश्वविद्यालय अनुदान आयोग  
UNIVERSITY GRANTS COMMISSION  
शिक्षा मंत्रालय, भारत सरकार  
MINISTRY OF EDUCATION, GOVT. OF INDIA  
बहादुरशाह जफर मार्ग, नई दिल्ली: 110002  
BAHADURSHAH ZAFAR MARG, NEW DELHI - 110 002  
011-23604181, 011-23604201



10 JAN 2023  
January, 2023

F.No. 3-1/2018(Admn.I/A&B)

**CIRCULAR**

Sub: Applicability of Payment of Gratuity Act 1972 to educational institutions under the Ministry of Education - reg.

The undersigned is directed to circulate the following Office Memorandum received from the Government of India, Ministry of Education, Department of Higher Education, Technical Coordination Section, New Delhi (**copy enclosed**) as detailed below:-

S. No.	O.M No. & Date	Received From	Subject
1.	F.No.15-2/2022-TC Dated 16.12.2022	Government of India, Ministry of Education, Department of Higher Education, Technical Coordination Section, New Delhi	Applicability of Payment of Gratuity Act 1972 to educational institutions under the Ministry of Education.

This issues with the approval of Competent Authority.

*Anurag*  
(Anurag)

Under Secretary(Admn.)

Enc. As above.

Copy to:

1. US (FD-I/A&B)/ US(RO)/SO (FD-I-A)/SO(FD-I/B)/CU/DC/IUC Sections with the request to take further action in the matter.
2. UGC Website.
3. UGC e-office.

*P. B. Sechulakshmi*

( P.B. Sechulakshmi )  
Section Officer (Admn.)

F. No. 15-2/2022-TC  
Government of India  
Ministry of Education  
Department of Higher Education  
Technical Coordination Section  
\*\*\*\*\*

For circulation R 7  
25/12

Room No.535, C- Wing, Shastri Bhawan  
New Delhi, dated the 16<sup>th</sup> December, 2022

SO Adm. J/148

**OFFICE MEMORANDUM**

**Subject: Applicability of Payment of Gratuity Act 1972 to educational institutions under the Ministry of Education-reg.**

The undersigned is directed to inform that the issue of payment of gratuity to the employees covered under New Pension Scheme (NPS) in the Autonomous Bodies/Organizations under the Ministry of Education (MoE) has been examined in consultation with the Ministry of Finance (Department of Expenditure) and the Ministry of Labour & Employment (MoL&E).

2. The Department of Expenditure (DoE), vide OM No.1(16)/EV/2017 dated 02.12.2021 (copy enclosed), has advised this Ministry to instruct Autonomous Bodies/ Organizations to adopt Payment of Gratuity Act, 1972 in consultation with MoL&E since CCS (Pension) Rules, 1972 are strictly meant for Central Government employees only. Further, vide O.M. No.R-13011/02/2022-SS.II dated 23.11.2022 (copy enclosed), the MoL&E has clarified that the Payment of Gratuity Act, 1972 is applicable to all employees of educational institutions, as the said Act does not have provisions for different set/category/class of employees and further that no separate notification is required to be issued by the Ministry of Education for applicability of the said Act (in case of NPS employees).

3. In view of the above, all Bureaus in both Departments of the Ministry of Education may like to direct the Autonomous Bodies / Organizations under their administrative control to take appropriate action accordingly.

Encl: As above.

*Kirti*  
(Kirti)  
Deputy Director (TE)  
Ph.: 23385935  
Email: Kirti.15@gov.in

To

1. All BHs, D/o HE
2. All BHs, D/o SE&L - in respect of the educational institutions under the administrative control of D/o SE&L

Dir (CA)  
Dir (CU-I)  
Dir (CU-II)  
& SCPE

*Sh. Nilkamal*  
*23/12/22*

*US (SW-VI)*  
*13/12/22*  
*27*

*A. Sethi*